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# Models of Neutrino Mixings: Recent Developments

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# Plan of the talk

Tri-Bimaximal (TB) mixing is indicated by the data

Is it real? Models of (approximate) TB mixing

Discrete symmetry groups:  $A_4$ ,  $S_4$ .....

Different versions of  $A_4$

$A_4$  and GUT's G.A, Feruglio, Hagedorn '08

A different route. May be TB is accidental ----> hint:

complementarity holds:  $\theta_{12} + \theta_c = \pi/4$  is empirically true

A possibility: Bimaximal (BM) mixing corrected from diagonalisation of charged leptons

A new model based on  $S_4$  G.A, Feruglio, Merlo '09



$\theta_{13}$  near the present bound



# Preliminaries

- After KamLAND, SNO and WMAP... not too much hierarchy is found in  $\nu$  masses:

$$r \sim \Delta m_{\text{sol}}^2 / \Delta m_{\text{atm}}^2 \sim 1/30$$

Only a few years ago could be as small as  $10^{-8}$ !

Precisely at  $3\sigma$ :  $0.025 < r < 0.039$

or

$$m_{\text{heaviest}} < 0.2 - 0.7 \text{ eV}$$

$$m_{\text{next}} > \sim 8 \cdot 10^{-3} \text{ eV}$$

Schwetz et al '08

For a hierarchical spectrum:

$$\frac{m_2}{m_3} \approx \sqrt{r} \approx 0.2$$

Comparable to  $\lambda_C = \sin \theta_C$ :

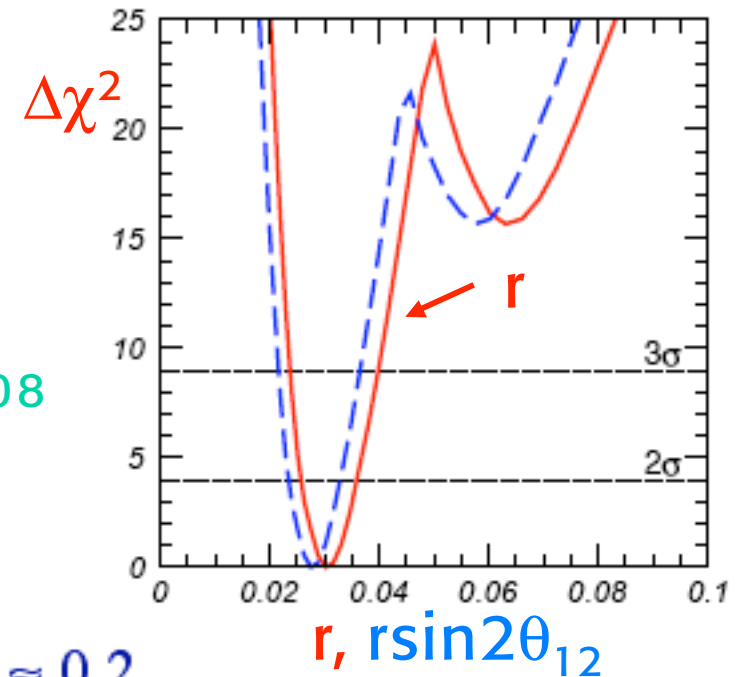
$$\lambda_C \approx 0.22 \text{ or } \sqrt{\frac{m_\mu}{m_\tau}} \approx 0.24$$

Suggests the same "hierarchy" parameters for  $q, l, \nu$

(small powers of  $\lambda_C$ )



e.g.  $\theta_{13}$  not too small!



- Still large space for non maximal 23 mixing

2- $\sigma$  interval  $0.37 < \sin^2\theta_{23} < 0.61$

Maximal  $\theta_{23}$  theoretically hard

- $\theta_{13}$  not necessarily too small  
probably accessible to exp.

Very small  $\theta_{13}$  theoretically hard

Fogli et al '08

$\sin^2 \theta_{12}$	$0.326^{+0.050}_{-0.040} [2\sigma]$
$\sin^2 \theta_{23}$	$0.45^{+0.16}_{-0.09} [2\sigma]$
$\sin^2 \theta_{13}$	$0.016 \pm 0.010$

$\theta_{13} = 0, \theta_{23} = \pi/4$  as a possible 1st approximation?



For some time people considered limiting models with  $\theta_{13}=0$ ,  $\theta_{23} = \pi/4$  and  $\theta_{12}$  generic

The most general mass matrix for  $\theta_{13}=0$  and  $\theta_{23} = \pi/4$  is given by

(after ch. lepton diagonalization!!!):

$$m_\nu = \begin{bmatrix} x & y & y \\ y & z & w \\ y & w & z \end{bmatrix}$$

Neglecting Majorana phases it depends on 4 real parameters (3 mass eigenvalues and 1 mixing angle:  $\theta_{12}$ )

Inspired models based on  $\mu$ - $\tau$  symmetry

Grimus, Lavoura..., Ma,.... Mohapatra, Nasri, Hai-Bo Yu ....



Actually, at present, since KamLAND, the most accurately known angle is  $\theta_{12}$

G.L.Fogli et al'08

At  $\sim 1\sigma$ :

$$\sin^2\theta_{12} = 0.294-0.331$$

By adding  $\sin^2\theta_{12} \sim 1/3$  to  $\theta_{13} \sim 0$ ,  $\theta_{23} \sim \pi/4$ :

$$U = \begin{bmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{-1}{\sqrt{2}} \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

Harrison, Perkins, Scott '02

⊕ Some additional ingredient other than  $\mu$ - $\tau$  symmetry needed!

$$U = \begin{bmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{-1}{\sqrt{2}} \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

## Comparison with experiment:

At  $1\sigma$ :

G.L.Fogli et al'08

$$\sin^2\theta_{12} = 1/3 : 0.29-0.33$$

$$\sin^2\theta_{23} = 1/2 : 0.41-0.54$$

$$\sin^2\theta_{13} = 0 : < \sim 0.02$$

The HPS mixing is clearly a very good approx. to the data!

Also called:  
Tri-Bimaximal mixing

$$\nu_3 = \frac{1}{\sqrt{2}}(-\nu_\mu + \nu_\tau)$$

$$\nu_2 = \frac{1}{\sqrt{3}}(\nu_e + \nu_\mu + \nu_\tau)$$



By adding  $\sin^2\theta_{12} \sim 1/3$  to  $\theta_{13} \sim 0, \theta_{23} \sim \pi/4$ :

### Tribimaximal Mixing

$$m_\nu = \begin{bmatrix} x & y & y \\ y & z & w \\ y & w & z \end{bmatrix}$$



$$m = \begin{pmatrix} x & y & y \\ y & x+v & y-v \\ y & y-v & x+v \end{pmatrix}$$



$$\sin^2 2\theta_{12} = \frac{8y^2}{(x-w-z)^2 + 8y^2}$$



$$\begin{aligned} m_1 &= x-y \\ m_2 &= x+2y \\ m_3 &= x-y+2v \end{aligned}$$


The 3 remaining parameters are the mass eigenvalues





# Tribimaximal Mixing

A simple mixing matrix compatible with all present data



$$U = \begin{bmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

In the basis of diagonal ch. leptons:

$$m_\nu = U \text{diag}(m_1, m_2, m_3) U^T$$


$$m_\nu = \frac{m_3}{2} \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{bmatrix} + \frac{m_2}{3} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} + \frac{m_1}{6} \begin{bmatrix} 4 & -2 & -2 \\ -2 & 1 & 1 \\ -2 & 1 & 1 \end{bmatrix}$$

Eigenvectors:

$$m_3 \rightarrow \frac{1}{\sqrt{2}} \begin{bmatrix} 0 \\ 1 \\ -1 \end{bmatrix} \quad m_2 \rightarrow \frac{1}{\sqrt{3}} \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \quad m_1 \rightarrow \frac{1}{\sqrt{6}} \begin{bmatrix} -2 \\ 1 \\ 1 \end{bmatrix}$$

Note: mixing angles independent of mass eigenvalues



Compare with quark mixings  $\lambda_C \sim (m_d/m_s)^{1/2}$

- For TB mixing all mixing angles are fixed to particularly symmetric values

Sparked interest in constructing models that can naturally produce this highly ordered structure

Models based on the  $A_4$  discrete symmetry (even permutations of 1234) offer a minimal solution

Ma...;  
 GA, Feruglio, hep-ph/0504165, hep-ph/0512103  
 GA, Feruglio, Lin hep-ph/0610165  
 GA, Feruglio, Hagedorn, 0802.0090  
 Y. Lin, 0804.2867.....

Larger finite groups:  $T'$ ,  $\Delta(27)$ ,  $S_4$  Feruglio et al;  
 Chen, Mahanthappa;  
 Frampton, Kephart; Lam;  
 Bazzocchi et al .....

Alternative models based on  $SU(3)_F$  or  $SO(3)_F$  or their finite subgroups

Verzielas, G. Ross King .....



# A4

A4 is the discrete group of even perm's of 4 objects.  
(the inv. group of a tetrahedron). It has  $4!/2 = 12$  elements.

A4 transformations can be written in terms of S and T as:

with:  $S^2 = T^3 = (ST)^3 = 1$  [(TS)<sup>3</sup> = 1 also follows]

1, T, S, ST, TS, T<sup>2</sup>, TST, STS, ST<sup>2</sup>, T<sup>2</sup>S, T<sup>2</sup>ST, TST<sup>2</sup>

An element is abcd which means 1234 --> abcd

C<sub>1</sub>: 1 = 1234

C<sub>2</sub>: T = 2314    ST = 4132    TS = 3241    STS = 1423

C<sub>3</sub>: T<sup>2</sup> = 3124    ST<sup>2</sup> = 4213    T<sup>2</sup>S = 2431    TST = 1342

C<sub>4</sub>: S = 4321    T<sup>2</sup>ST = 3412    TST<sup>2</sup> = 2143

x, x' in same class if

⊕ C<sub>1</sub>, C<sub>2</sub>, C<sub>3</sub>, C<sub>4</sub> are equivalence classes    [x' ~ gxg<sup>-1</sup>]    g: group element

A4 has 4 inequivalent irreducible representations:  
a triplet and 3 different singlets

$$3, 1, 1', 1''$$

(promising for 3 generations!)

Note:

as many representations as equivalence classes

$$\sum d_i^2 = 12$$

$$9+1+1+1=12$$

Note: many models tried S3

S3 has no triplets but only 2, 1, 1'

A4 is better in the lepton sector

Mohapatra, Nasri, Yu

Koide

Kubo et al

Kaneko et al

Caravaglios et al

Morisi; Picariello

Grimus, Lavoura.....



Three singlet inequivalent represent'ns:

Recall:

$$S^2 = T^3 = (ST)^3 = 1$$

$$\begin{cases} 1: S=1, T=1 \\ 1': S=1, T=\omega \\ 1'': S=1, T=\omega^2 \end{cases}$$

$$\begin{aligned} \omega &= \exp i \frac{2\pi}{3} = -\frac{1}{2} + i \frac{\sqrt{3}}{2} \\ \omega^3 &= 1 \\ 1 + \omega + \omega^2 &= 0 \\ \omega^2 &= \omega^* \end{aligned}$$

The only irreducible 3-dim represent'n is obtained by:

$$S = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$

$$T = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

(S-diag basis)

An equivalent form:

$$S' = \frac{1}{3} \begin{bmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{bmatrix} = V S V^\dagger$$

(T-diag basis)

$$T' = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{bmatrix} = V T V^\dagger$$

$$V V^\dagger = V^\dagger V = 1$$

↓

$$V = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{bmatrix}$$

Cabibbo '78

A4 has only 4 irreducible inequivalent represent'ns:  $1, 1', 1'', 3$

Table of Multiplication:

$$1' \times 1' = 1''; 1'' \times 1'' = 1'; 1' \times 1'' = 1$$

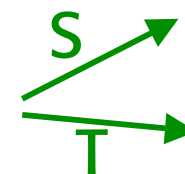
$$3 \times 3 = 1 + 1' + 1'' + 3 + 3$$

A4 is well fit for 3 families!

e.g. charged leptons  $l \sim 3$

$e^c, \mu^c, \tau^c \sim 1, 1'', 1'$

$$(a_1, -a_2, -a_3)$$



$$(a_2, a_3, a_1)$$

In the S-diag basis consider  $3: (a_1, a_2, a_3)$

For  $3_1 = (a_1, a_2, a_3), 3_2 = (b_1, b_2, b_3)$  we have in  $3_1 \times 3_2$ :

$$1 = a_1 b_1 + a_2 b_2 + a_3 b_3$$

$$3 \sim (a_2 b_3, a_3 b_1, a_1 b_2)$$

$$1' = a_1 b_1 + \omega^2 a_2 b_2 + \omega a_3 b_3$$

$$3 \sim (a_3 b_2, a_1 b_3, a_2 b_1)$$

$$1'' = a_1 b_1 + \omega a_2 b_2 + \omega^2 a_3 b_3$$

$$\begin{aligned} \text{e.g. } 1'' &= a_1 b_1 + \omega a_2 b_2 + \omega^2 a_3 b_3 \xrightarrow{T} a_2 b_2 + \omega a_3 b_3 + \omega^2 a_1 b_1 = \\ &= \omega^2 [a_1 b_1 + \omega a_2 b_2 + \omega^2 a_3 b_3] \end{aligned}$$



(under S,  $1''$  is invariant)

In the T-diagonal basis we have:

$$S' = \frac{1}{3} \begin{bmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{bmatrix} = V S V^\dagger \quad T' = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{bmatrix} = V T V^\dagger \quad V = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{bmatrix}$$

$$V V^\dagger = V^\dagger V = 1$$

Cabibbo '78

For  $3_1=(a_1,a_2,a_3)$ ,  $3_2=(b_1,b_2,b_3)$  we have in  $3_1 \times 3_2$ :

$$1 = a_1 b_1 + a_2 b_3 + a_3 b_2$$

$$1' = a_3 b_3 + a_1 b_2 + a_2 b_1$$

$$1'' = a_2 b_2 + a_1 b_3 + a_3 b_3$$

We will see that in this basis  
the charged leptons  
are diagonal

$$3_{\text{symm}} \sim \frac{1}{3} (2a_1 b_1 - a_2 b_3 - a_3 b_2, 2a_3 b_3 - a_1 b_2 - a_2 b_1, 2a_2 b_2 - a_1 b_3 - a_3 b_1)$$

$$3_{\text{antisymm}} \sim \frac{1}{2} (a_2 b_3 - a_3 b_2, a_1 b_2 - a_2 b_1, a_1 b_3 - a_3 b_1)$$

Under A4 the most common classification is:

lepton doublets  $l \sim \mathbf{3}$ , (in see-saw models  $\nu^c \sim \mathbf{3}$ )

$e^c, \mu^c, \tau^c \sim 1, 1'', 1'$  respectively

A4 breaking gauge singlet flavons  $\phi_S, \phi_T, \xi \sim \mathbf{3}, \mathbf{3}, 1$

For SUSY version: driving fields  $\phi_{0S}, \phi_{0T}, \xi_0 \sim \mathbf{3}, \mathbf{3}, 1$

with the alignment:

$$\langle \varphi_T \rangle = (v_T, 0, 0)$$

$$\langle \varphi_S \rangle = (v_S, v_S, v_S)$$

$$\langle \xi \rangle = u, \quad \langle \tilde{\xi} \rangle = 0$$

!!!

In a serious model  
the alignment must  
follow from  
the symmetries

In all versions there are additional symmetries:

e.g. a broken  $U(1)_F$  symmetry and/or discrete symmetries  $Z_n$   
to ensure hierarchy of charged lepton masses and to restrict  
allowed couplings



# Structure of the model (a 4-dim SUSY version)

GA, Feruglio, hep-ph/0512103

$$w_l = y_e e^c(\varphi_T l) + y_\mu \mu^c(\varphi_T l)' + y_\tau \tau^c(\varphi_T l)'' + (x_a \xi + \tilde{x}_a \tilde{\xi})(ll) + x_b(\varphi_S ll) + h.c. + \dots$$

shorthand: Higgs and cut-off scale  $\Lambda$  omitted, e.g.:

$$y_e e^c(\varphi l) \sim y_e e^c(\varphi l) h_d / \Lambda, \quad x_a \xi(ll) \sim x_a \xi(l h_u l h_u) / \Lambda^2$$

In T-diag basis:

with this alignment:

$$\langle \varphi_T \rangle = (v_T, 0, 0)$$

$$\langle \varphi_S \rangle = (v_S, v_S, v_S)$$

$$\langle \xi \rangle = u, \quad \langle \tilde{\xi} \rangle = 0$$

recall:

$$m = \begin{pmatrix} x & y & y \\ y & x+v & y-v \\ y & y-v & x+v \end{pmatrix}$$

Ch. leptons are diagonal

$$m_l = v_T \frac{v_d}{\Lambda} \begin{pmatrix} y_e & 0 & 0 \\ 0 & y_\mu & 0 \\ 0 & 0 & y_\tau \end{pmatrix}$$

$v$ 's are tri-bimaximal

$$m_\nu = \frac{v_u^2}{\Lambda} \begin{pmatrix} a + 2b/3 & -b/3 & -b/3 \\ -b/3 & 2b/3 & a - b/3 \\ -b/3 & a - b/3 & 2b/3 \end{pmatrix}$$

$$a \equiv x_a \frac{u}{\Lambda} \quad b \equiv x_b \frac{v_T}{\Lambda}$$



So, at LO TB mixing is exact

$$r \sim \Delta m_{\text{sol}}^2 / \Delta m_{\text{atm}}^2$$

The only fine-tuning needed is to account for  $r \sim 1/30$

[In most A4 models  $r \sim 1$  would be expected as  $l, \nu^c \sim 3$ ]

When NLO corrections are included from operators of higher dimension in the superpotential each mixing angle receives generically corrections of the same order  $\delta\theta_{ij} \sim o(\text{VEV}/\Lambda)$

As the maximum allowed corrections to  $\theta_{12}$  (and also to  $\theta_{23}$ ) are  $o(\lambda_C^2)$ , we need  $\text{VEV}/\Lambda \sim o(\lambda_C^2)$  and we expect:

$\theta_{13} \sim o(\lambda_C^2)$  measurable in next run of exp's

(T2K starts at the end of '09)



## Why A4 works?

TB mixing corresponds to  $m$   
in the basis where  
charged leptons are diagonal

$$m = \begin{pmatrix} x & y & y \\ y & x + v & y - v \\ y & y - v & x + v \end{pmatrix}$$

$m$  is the most general matrix invariant under  
 $S m S = m$  and  $A_{23} m A_{23} = m$  with:

$$S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$$

$$A_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

2-3  
symmetry

Invariance under  $S$  can be made automatic in  $A_4$  while  
⊕ invariance under  $A_{23}$  happens if  $1'$  and  $1''$  flavons are absent.

Charged lepton masses are a generic diagonal matrix, invariant under T (or  $\eta T$  with  $\eta$  a phase):

$$m_l^\dagger m_l = T^\dagger m_l^\dagger m_l T$$

$$m_l = v_T \frac{v_d}{\Lambda} \begin{pmatrix} y_e & 0 & 0 \\ 0 & y_\mu & 0 \\ 0 & 0 & y_\tau \end{pmatrix}$$

$$T = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}$$

$$\langle \varphi_T \rangle = (v_T, 0, 0)$$

$$\langle \varphi_S \rangle = (v_S, v_S, v_S)$$

$$\langle \xi \rangle = u, \quad \langle \tilde{\xi} \rangle = 0$$

The alignment occurs because is based on A4 group theory:

$\phi_T$  breaks A4 down to  $G_T$

$\phi_S$  breaks A4 down to  $G_S$

( $G_T, G_S$ : subgroups generated by T, S)



Note that for TB mixing in  $A_4$  it is important that no flavons transforming as  $1'$  and  $1''$  exist

Recently Lam claimed that for “a natural” TB model the smallest group is  $S_4$  (instead  $A_4$  is a subgroup of  $S_4$ )

This is because he calls “natural” a model only if all possible flavons are introduced

**We do not accept this criterium:**

In physics we call natural a model if the lagrangian is the most general given the symmetry and the representations of the fields

(for example the SM is natural even if only Higgs doublets are present)



## Many versions of A4 models exist by now

- with dim-5 effective operators or with see-saw
- with SUSY or without SUSY
- in 4 dimensions or in extra dimensions
  - e.g G.A., Feruglio'05; G.A., Feruglio, Lin '06; Csaki et al '08.....
- with different solutions to the alignment problem
  - e.g Hirsch, Morisi, Valle '08
- with sequential (or form) dominance
  - e.g King'07 ; Chen, King '09
- with charged lepton hierarchy also following from a special alignment (no  $U(1)_{FN}$ ) Lin'08
- extension to quarks, possibly in a GUT context



## Recent directions of research:

- Different (larger) finite groups

Ma;  
Kobayashi et al;  
Luhn, Nasri, Ramond [ $\Delta(3n^2)$ ];  
.....

- Trying to improve the quark mixings

Carr, Frampton  
Feruglio et al  
Frampton, Kephart.....

- Construct GUT models with approximate tribimaximal mixing

it is indeed possible, also for A4!

GA, Feruglio, Hagedorn 0802.0090

Ma, Sawanaka, Tanimoto; Ma;  
Morisi, Picarello, Torrente Lujan; Bazzocchi et al;  
de Madeiros Verzielas, King, Ross [ $\Delta(27)$ ];  
King, Malinsky [ $SU(4)_C \times SU(2)_L \times SU(2)_R$ ]; Antusch et al;  
Chen, Mahanthappa; Bazzocchi et al [ $\Delta(27)$ ]; .....



## Key ingredients:

- SUSY

In general SUSY is crucial for hierarchy, coupling unification and  $p$  decay

Specifically it makes simpler to implement the required alignment

- GUT's in 5 dimensions

In general GUT's in ED are most natural and effective

Here ED also contribute to produce fermion hierarchies

- Extended flavour symmetry:  $A_4 \times U(1) \times Z_3 \times U(1)_R$

$U(1)_R$  is a standard ingredient of SUSY GUT's in ED

Hall-Nomura'01





# SUSY-SU(5) GUT with A4

## Key ingredients:

- GUT's in 5 dimensions

Froggatt-Nielsen

Reduces to R-parity when SUSY is broken at  $m_{\text{soft}}$

- Extended flavour symmetry:  $A_4 \times U(1) \times Z_3 \times U(1)_R$

Keeps  $\phi_S$  and  $\phi_T$  separate

Field	$N$	$F$	$T_1$	$T_2$	$T_3$	$H_5$	$H_{\bar{5}}$	$\varphi_T$	$\varphi_S$	$\xi, \tilde{\xi}$	$\theta$	$\theta''$	$\varphi_0^T$	$\varphi_0^S$	$\xi_0$
SU(5)	1	$\bar{5}$	10	10	10	5	$\bar{5}$	1	1	1	1	1	1	1	1
$A_4$	3	3	$1''$	$1'$	1	1	$1'$	3	3	1	1	$1''$	3	3	1
U(1)	0	0	3	1	0	0	0	0	0	0	-1	-1	0	0	0
$Z_3$	$\omega$	$\omega$	$\omega$	$\omega$	$\omega$	$\omega$	$\omega$	1	$\omega$	$\omega$	1	1	1	$\omega$	$\omega$
$U(1)_R$	1	1	1	1	1	0	0	0	0	0	0	0	2	2	2



U(1) breaking flavons

driving fields for alignment



● : in bulk

In this model a good description of all quark and lepton masses is obtained.

As for all U(1) models only  $o(\lambda^p)$  predictions can be given (modulo  $o(1)$  coeff.s)

TB mixing for neutrinos is reproduced in first approximation

Quark hierarchies force corrections to TB mixing to be  $o(\lambda^2)$  ( in particular we predict  $\theta_{13} \sim o(\lambda^2)$ , accessible at T2K).

A moderate fine tuning is needed to fix  $\lambda_c$  and  $r$  (nominally of  $o(\lambda^2)$  and 1 respectively)

Normal hierarchy is favoured, degenerate  $\nu$ 's are excluded



But agreement with TB mixing could be accidental

If  $\theta_{13}$  is found near its present bound this would hint that TB is accidental and bimaximal mixing (BM) could be a better first approximation

There is an intriguing empirical relation:

$$\theta_{12} + \theta_C = (47.0 \pm 1.7)^\circ \sim \pi/4 \quad \text{Raidal'04}$$

Suggests bimaximal mixing in 1st approximation, corrected by charged lepton diagonalization.

Recall that

$$\lambda_C \approx 0.22 \text{ or } \sqrt{\frac{m_\mu}{m_\tau}} \approx 0.24 \quad \lambda_C = \sin\theta_C$$

While  $\theta_{12} + o(\theta_C) \sim \pi/4$  is easy to realize, exactly

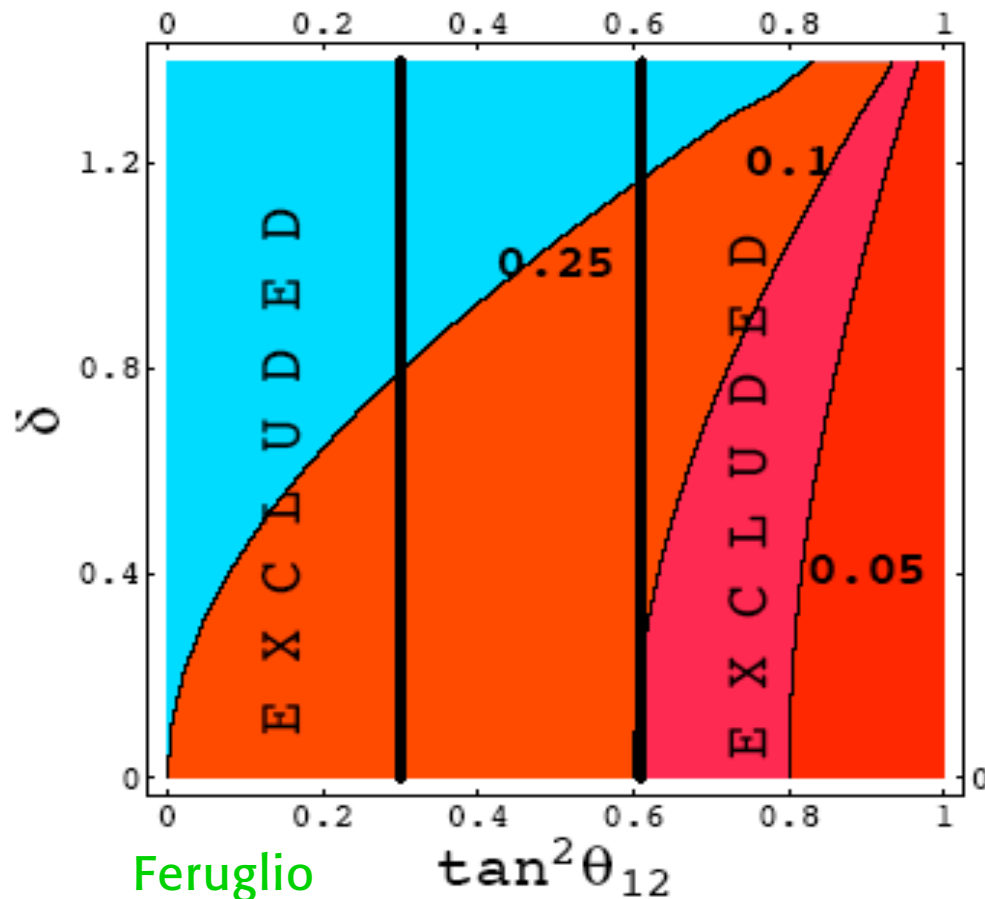
$\theta_{12} + \theta_C \sim \pi/4$  is more difficult: no compelling model  
Minakata, Smirnov'04



Suggests that deviations from BiMaximal mixing arise from charged lepton diagonalisation (BM:  $\theta_{12} = \theta_{23} = \pi/4$   $\theta_{13} = 0$ )

For the corrections from the charged lepton sector, typically  $|\sin\theta_{13}| \sim (1 - \tan^2\theta_{12})/4\cos\delta \sim 0.15$

GA, Feruglio, Masina  
Frampton et al  
Petcov et al  
King  
Antusch et al.....



$$\bar{U}_{12} = -\frac{e^{-i(\alpha_1+\alpha_2)}}{\sqrt{2}} + \frac{s_{12}^e e^{-i\alpha_2} + s_{13}^e e^{i\delta_e}}{2}$$

$$\bar{U}_{13} = \frac{s_{12}^e e^{-i\alpha_2} - s_{13}^e e^{i\delta_e}}{\sqrt{2}}$$

$$\bar{U}_{23} = -e^{-i\alpha_2} \frac{1 + s_{23}^e e^{i\alpha_2}}{\sqrt{2}}$$

Corr.'s from  $s_{12}^e, s_{13}^e$  to  $U_{12}$  and  $U_{13}$  are of first order (2nd order to  $U_{23}$ )

Here we construct a model where BM mixing holds in 1st approximation and is then corrected by terms  $o(\lambda_C)$  from diagonalisation of charged leptons

## Revisiting Bimaximal Neutrino Mixing in a Model with $S_4$ Discrete Symmetry

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## BM mixing

$$\theta_{12} = \theta_{23} = \pi/4, \theta_{13} = 0$$

$$U_{BM} = \begin{pmatrix} \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} & 0 \\ \frac{1}{2} & \frac{1}{2} & -\frac{1}{\sqrt{2}} \\ \frac{1}{2} & \frac{1}{2} & \frac{1}{\sqrt{2}} \end{pmatrix}$$



By adding  $\sin^2\theta_{12} \sim 1/2$  to  $\theta_{13} \sim 0$ ,  $\theta_{23} \sim \pi/4$ :

### Bimaximal Mixing

$$m_\nu = \begin{bmatrix} x & y & y \\ y & z & w \\ y & w & z \end{bmatrix}$$

$$m_{\nu BM} = \begin{pmatrix} x & y & y \\ y & z & x - z \\ y & x - z & z \end{pmatrix}$$

$$\sin^2 2\theta_{12} = \frac{8y^2}{(x - w - z)^2 + 8y^2}$$

$$m_1 = x + \sqrt{2}y$$

$$m_2 = x - \sqrt{2}y$$

$$m_3 = 2z - x$$

BM corresponds to  $\tan^2\theta_{12}=1$   
 while exp.:  $\tan^2\theta_{12}=0.45 \pm 0.04$   
 so a large correction is needed

The 3 remaining parameters  
 are the mass eigenvalues



## Bimaximal Mixing

In the basis of diagonal ch. leptons:

$$m_\nu = U \text{diag}(m_1, m_2, m_3) U^\top$$

$$U_{BM} = \begin{pmatrix} \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} & 0 \\ \frac{1}{2} & \frac{1}{2} & -\frac{1}{\sqrt{2}} \\ \frac{1}{2} & \frac{1}{2} & \frac{1}{\sqrt{2}} \end{pmatrix}$$



$$m_{\nu BM} = \left[ \frac{m_3}{2} M_3 + \frac{m_2}{4} M_2 + \frac{m_1}{4} M_1 \right]$$

$$M_3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{pmatrix}, M_2 = \begin{pmatrix} 2 & -\sqrt{2} & -\sqrt{2} \\ -\sqrt{2} & 1 & 1 \\ -\sqrt{2} & 1 & 1 \end{pmatrix}, M_1 = \begin{pmatrix} 2 & \sqrt{2} & \sqrt{2} \\ \sqrt{2} & 1 & 1 \\ \sqrt{2} & 1 & 1 \end{pmatrix}$$

**Eigenvectors:**  $(\sqrt{2}, 1, 1)/2$ ,  $(-\sqrt{2}, 1, 1)/2$ ,  $(0, 1, -1)/\sqrt{2}$ .





BM mixing corresponds to  $m$  in the basis where charged leptons are diagonal

$$m_{\nu BM} = \begin{pmatrix} x & y & y \\ y & z & x - z \\ y & x - z & z \end{pmatrix}$$

$m$  is the most general matrix invariant under  $S$  and  $A_{23}$  with:

$$S = \begin{pmatrix} 0 & -\frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{2}} & \frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{\sqrt{2}} & -\frac{1}{2} & \frac{1}{2} \end{pmatrix} \quad A_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \quad \begin{array}{l} \text{2-3} \\ \text{symmetry} \end{array}$$

Invariance under  $S$  can be made automatic in  $S_4$  while invariance under  $A_{23}$  happens if the flavon content is suitable



**S4:** Group of permutations of 4 objects (24 transformations)

Irreducible representations: 1, 1', 2, 3, 3'

$$S^2 = T^4 = (ST)^3 = (TS)^3 = 1$$

**1**  $T = 1$   $S = 1$

**2**  $T = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$   $S = \frac{1}{2} \begin{pmatrix} -1 & \sqrt{3} \\ \sqrt{3} & 1 \end{pmatrix}$

**3**  $T = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -i & 0 \\ 0 & 0 & i \end{pmatrix}$   $S = \begin{pmatrix} 0 & -\frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{2}} & \frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{\sqrt{2}} & -\frac{1}{2} & \frac{1}{2} \end{pmatrix}$

1  $\leftrightarrow$  1' and 3  $\leftrightarrow$  3' by changing S, T  $\leftrightarrow$  -S, -T



## Symmetry: $S_4 \times Z_4 \times U(1)_{FN} \times U(1)_R$

	$l$	$e^c$	$\mu^c$	$\tau^c$	$\nu^c$	$h_{u,d}$	$\theta$	$\varphi_l$	$\chi_l$	$\psi_l^0$	$\chi_l^0$	$\xi_\nu$	$\varphi_\nu$	$\xi_\nu^0$	$\varphi_\nu^0$
$S_4$	3	1	1'	1	3	1	1	3	3'	2	3'	1	3	1	3
$Z_4$	1	-1	-i	-i	1	1	1	i	i	-1	-1	1	1	1	1
$U(1)_{FN}$	0	2	1	0	0	0	-1	0	0	0	0	0	0	0	0
$U(1)_R$	1	1	1	1	1	1	0	0	0	2	2	0	0	2	2

$$w_l = \frac{y_e^{(1)} \theta^2}{\Lambda^2 \Lambda^2} e^c (l \varphi_l \varphi_l) + \frac{y_e^{(2)} \theta^2}{\Lambda^2 \Lambda^2} e^c (l \chi_l \chi_l) + \frac{y_e^{(3)} \theta^2}{\Lambda^2 \Lambda^2} e^c (l \varphi_l \chi_l) + \frac{y_\mu \theta}{\Lambda \Lambda} \mu^c (l \chi_l)' + \frac{y_\tau}{\Lambda} \tau^c (l \varphi_l) + \dots$$

$$w_\nu = y(\nu^c l) + M\Lambda(\nu^c \nu^c) + a(\nu^c \nu^c \xi_\nu) + b(\nu^c \nu^c \varphi_\nu) + \dots \quad \leftarrow \text{see-saw}$$

$$\frac{\langle \varphi_\nu \rangle}{\Lambda} = \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix} C \quad \frac{\langle \xi_\nu \rangle}{\Lambda} = D \quad \frac{\langle \varphi_l \rangle}{\Lambda} = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} A \quad \frac{\langle \chi_l \rangle}{\Lambda} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} B$$

⊕ Alignment along minimum of most general potential in LO

In leading order charged leptons are diagonal

$$m_l = \begin{pmatrix} (y_e^{(1)} B^2 - y_e^{(2)} A^2 + y_e^{(3)} AB)t^2 & 0 & 0 \\ 0 & y_\mu B t & 0 \\ 0 & 0 & y_\tau A \end{pmatrix} v_d$$

$$\frac{\langle \theta \rangle}{\Lambda} = t$$

$U(1)_{\text{FN}}$  flavon VEV

and neutrinos show BM mixing

$$m_\nu^D = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} y v_u$$

$$M_N = \begin{pmatrix} 2M + 2aD & -2bC & -2bC \\ -2bC & 0 & 2M + 2aD \\ -2bC & 2M + 2aD & 0 \end{pmatrix} \Lambda$$

Dirac

Majorana

$$|m_1| = \frac{|y^2|v_u^2}{2|M + aD - \sqrt{2}bC|} \frac{1}{\Lambda} \quad |m_2| = \frac{|y^2|v_u^2}{2|M + aD + \sqrt{2}bC|} \frac{1}{\Lambda} \quad |m_3| = \frac{|y^2|v_u^2}{2|M + aD|} \frac{1}{\Lambda}$$

$$A \sim B \sim v, \quad C \sim D \sim v'$$



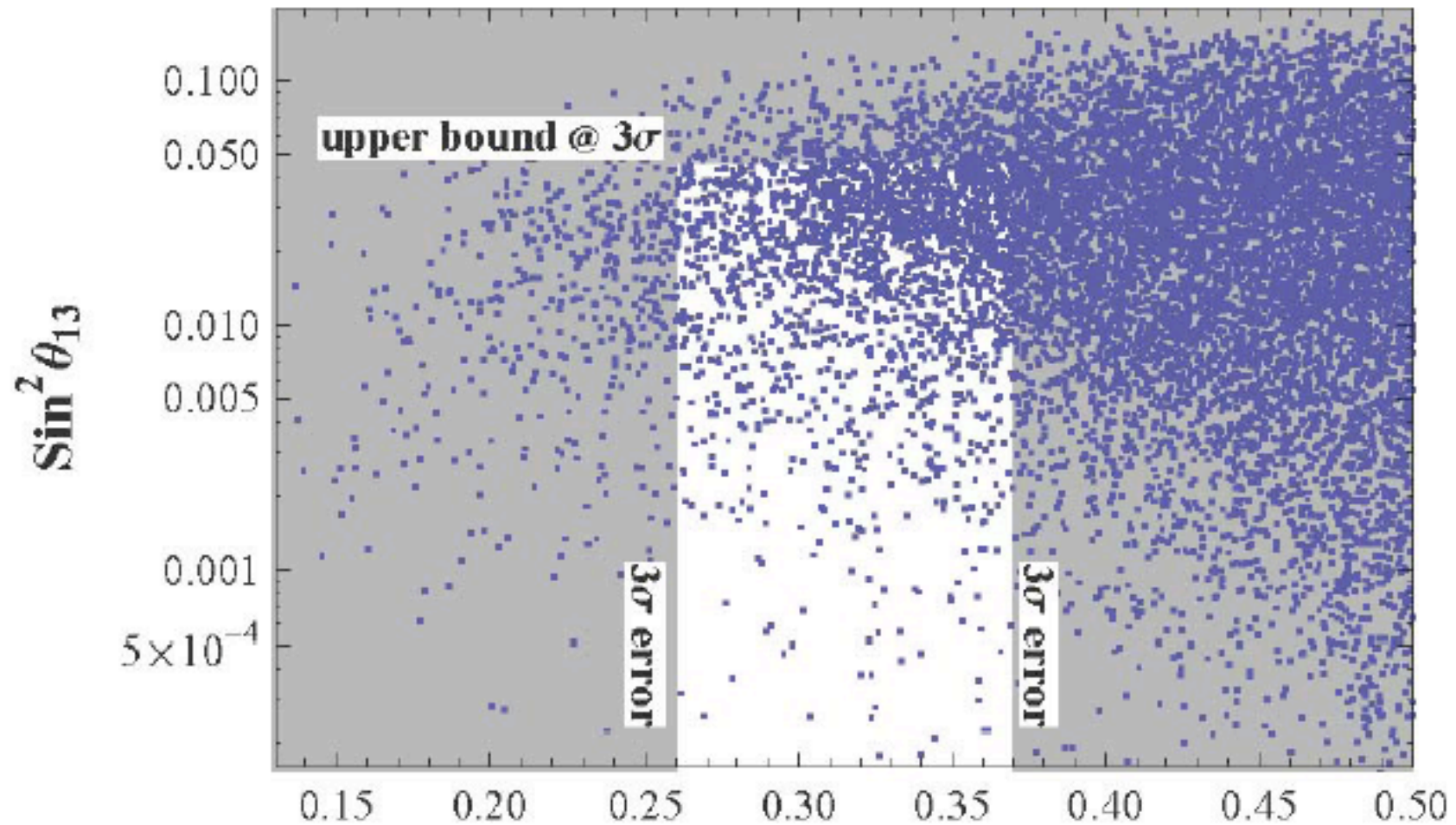
In this model BM mixing is exact at LO

For the special flavon content chosen, at NLO  $\theta_{12}$  and  $\theta_{13}$  are corrected only from the charged lepton sector by terms of  $o(\lambda_C)$  (large correction!) while  $\theta_{23}$  gets smaller corrections at NNLO(great!)

[for a generic flavon content also  $\delta\theta_{23} \sim o(\lambda_C)$ ]

An experimental indication for this model would be that  $\theta_{13}$  is found near its present bound at T2K, CHOOZ2.....





$$\sin^2 \theta_{12} = \frac{1}{2} - \frac{1}{\sqrt{2}}(V_{12} + V_{13})v'$$

$$\sin \theta_{13} = \frac{1}{\sqrt{2}}(V_{12} - V_{13})v'$$

$$\text{Sin}^2 \theta_{12}$$

$$|V_{ij}| = 0-2, v' = 0.15$$



## Conclusion

The observed pattern of neutrino masses can be accommodated in different models

For example, TB mixing from A4 with small corrections or BM with large corrections from charged lepton diag.

Quark and lepton mixings can be described together and GUT schemes are also possible

But no compelling illumination about the dynamics of flavour has emerged so far.

