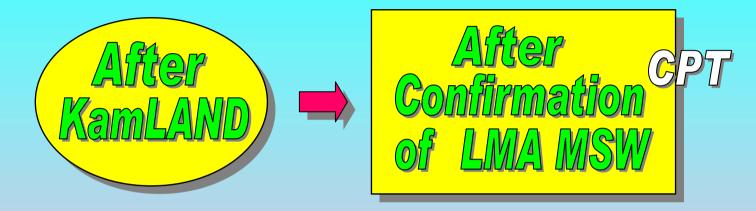


A. Yu. Smirnov AS ICTP, Trieste, Italy

``KamLAND: Before and After'' Solar Neutrinos Reconstruction of the neutrino mass spectrum Atmospheric neutrinos Supernova neutrinos The end of era of the neutrino anomalies?

Before and After



Neutrino Physics after KamLAND' started much before announcement of the first results

Since 1998 -1999 most of the papers - in context of the LMA solution (phenomenology, implications etc..)

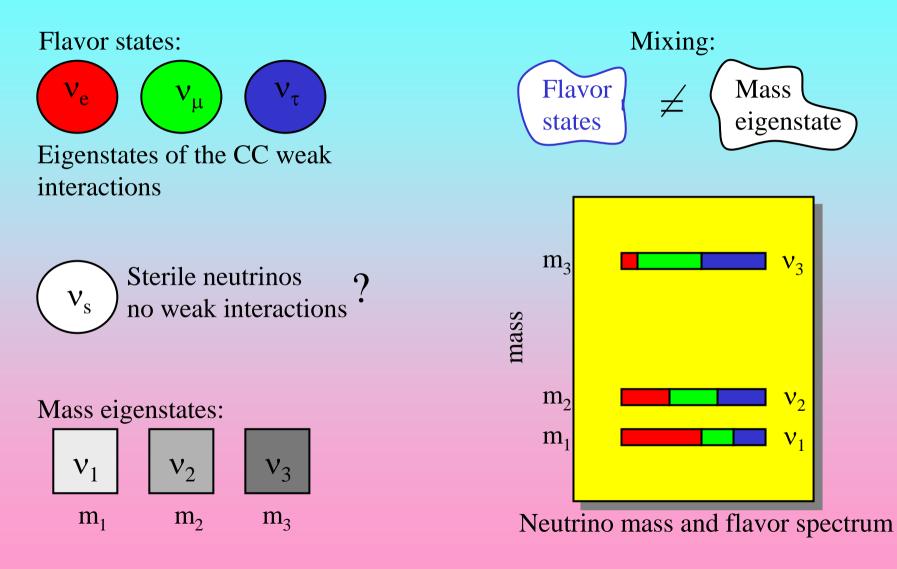
1998 is the turn point ``Revolution 98'':

- Strong evidence of oscillations of the atmospheric neutrinos
- SMA MSW solution of the solar neutrino problem - disfavored

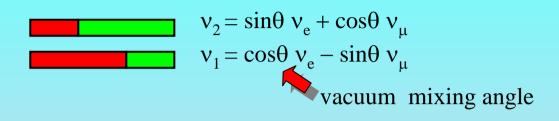


The prejudice of small mixing was destroyed





<u>Mixing and flavor states</u>



$$v_e = \cos\theta v_1 + \sin\theta v_2$$

coherent mixture of mass eigenstates



Interference of the parts of wave packets with the same flavor depends on the phase difference $\Delta \phi$ between v_1 and v_2

$$\Delta \phi = \frac{\Delta m^2}{2E} 1$$

$$\Delta m^2 = m_2^2 - m_1^2$$



Kamioka Large Anti-Neutrino Detector

Reactor long baseline experiment 150 - 210 km Liquid scintillation detector

$$\overline{\nu}_{e} + p ---> e^{+} + n$$

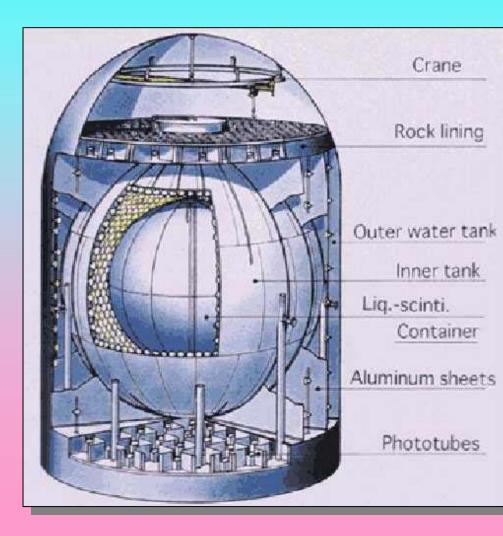
 $E_{pr} > 2.6 \text{ MeV}$

Total rate energy spectrum of events

LMA precise determination of the oscillation parameters 10% accuracy

Detection of the Geo-neutrinos $E_{pr} > 1.3 \text{ MeV}$

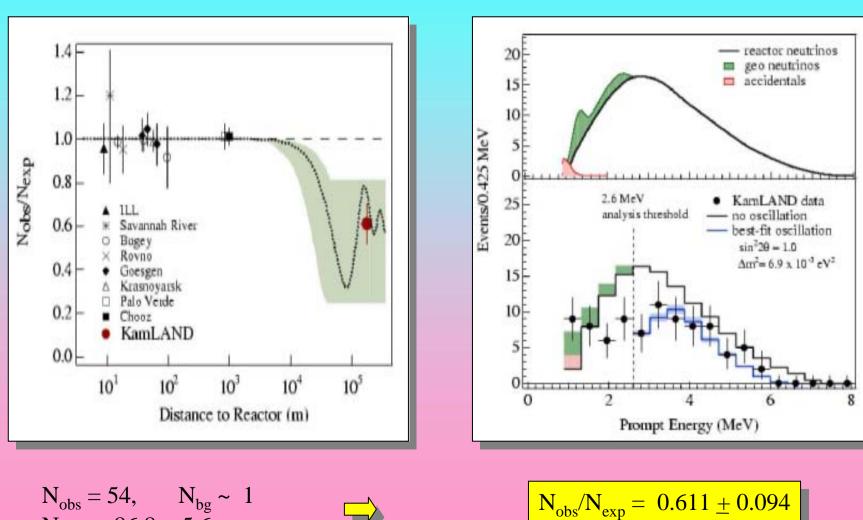
1 kton of LS





K. Eguchi et al., Phys. Rev. Lett., 90, 021802 (2003

Spectrum



Rate

 $N_{exp} = 86.8 \pm 5.6$



Culmination of ~ 40 years of solar neutrino studies



has confirmed the LMA MSW solution excluded other solutions at least as dominant mechanisms

further shifted the allowed region and best fit point to larger Δm^2



The lower bound: $\Delta m^2 > (4 - 5) 10^{-5} \text{ eV}^2$ looks rather solid

Mixing is practically unaffected

Confirmation of the whole oscillation picture including oscillations of the atmospheric neutrinos



10#

10"

10**

10*

10

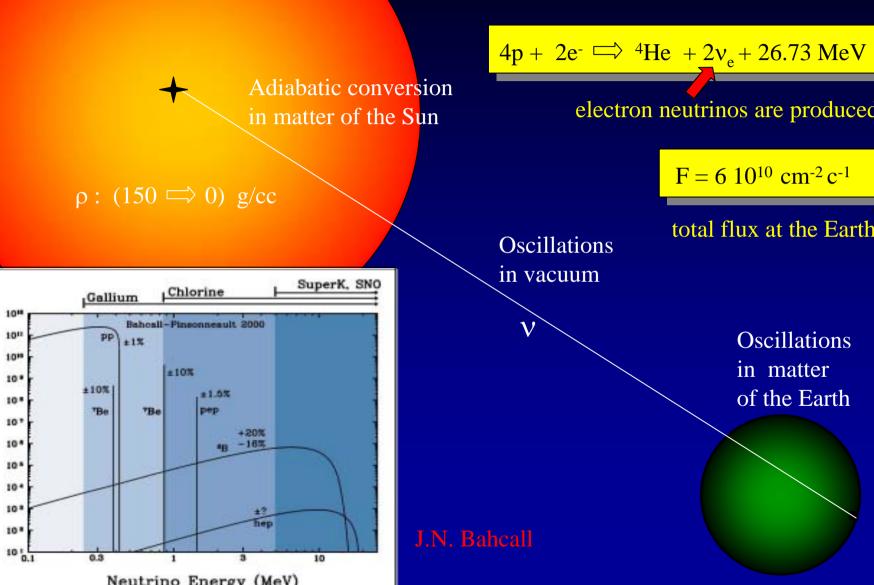
10 *

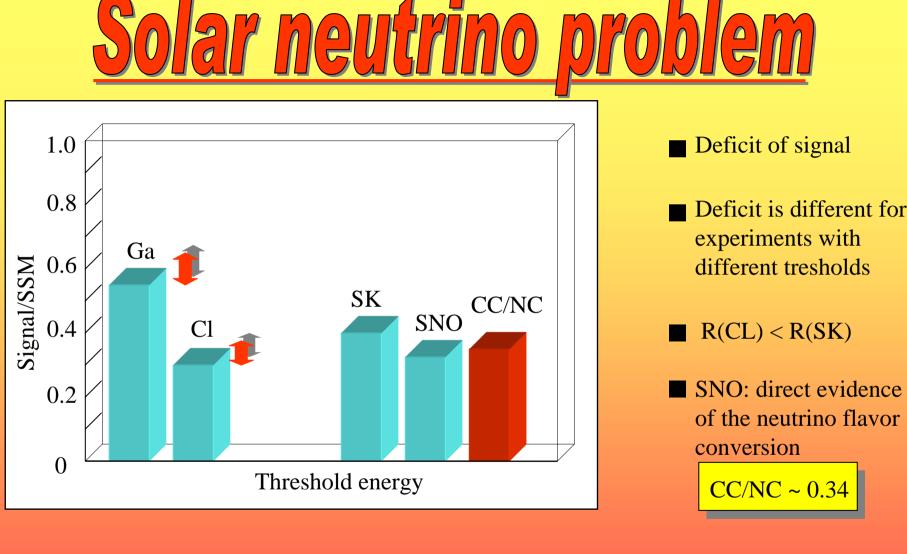
10 *

10* 10* 10*

10*

Neutrino Flux





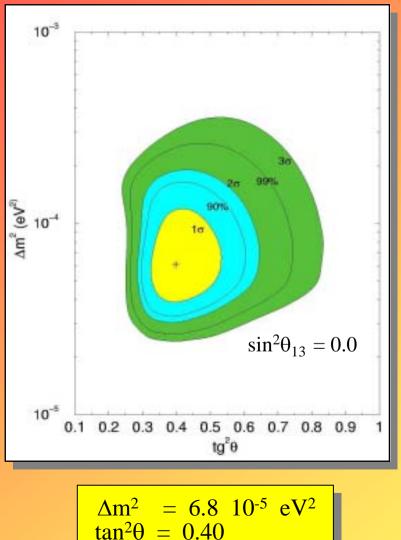
Still there no observations of signatures of a particular mechanism of neutrino conversion



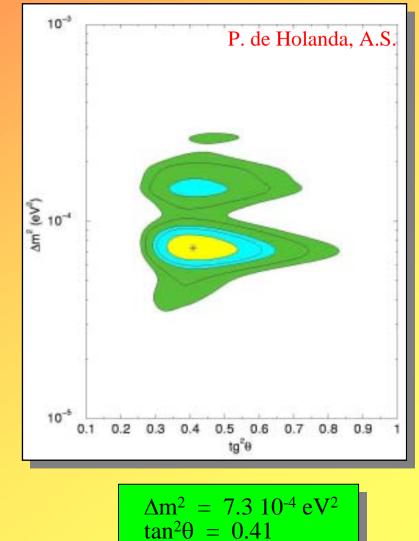
Distortion of the boron neutrino spectrum Day-Night asymmetry Seasonal variation

Large mixing MSW solution

solar data



solar data + KamLAND





An example: E = 10 MeV

Resonance layer: $n_R Y_e = 20 \text{ g/cc}$ $R_R = 0.24 R_{sun}$

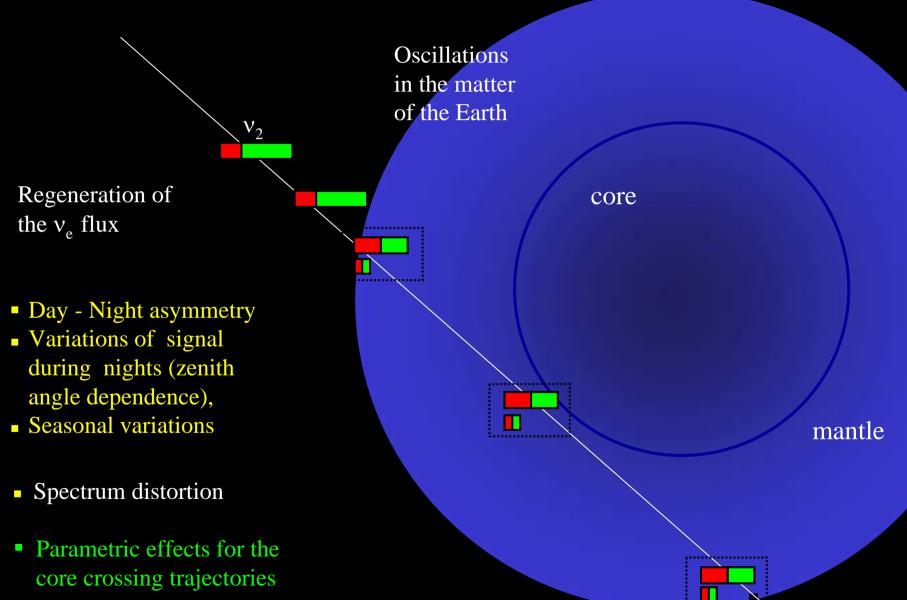
In the production point $\sin^2\theta_m^0 = 0.94$ $\cos^2\theta_m^0 = 0.06$

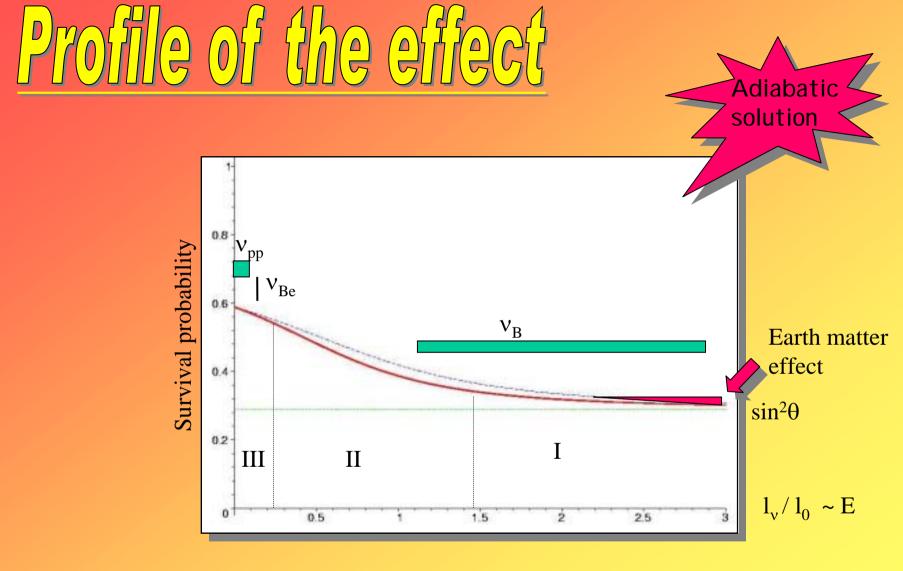


Evolution of the eigenstate v_{2m} Flavor of neutrino state follows density change

 v_{2m}

Inside the Earth. Regeneration



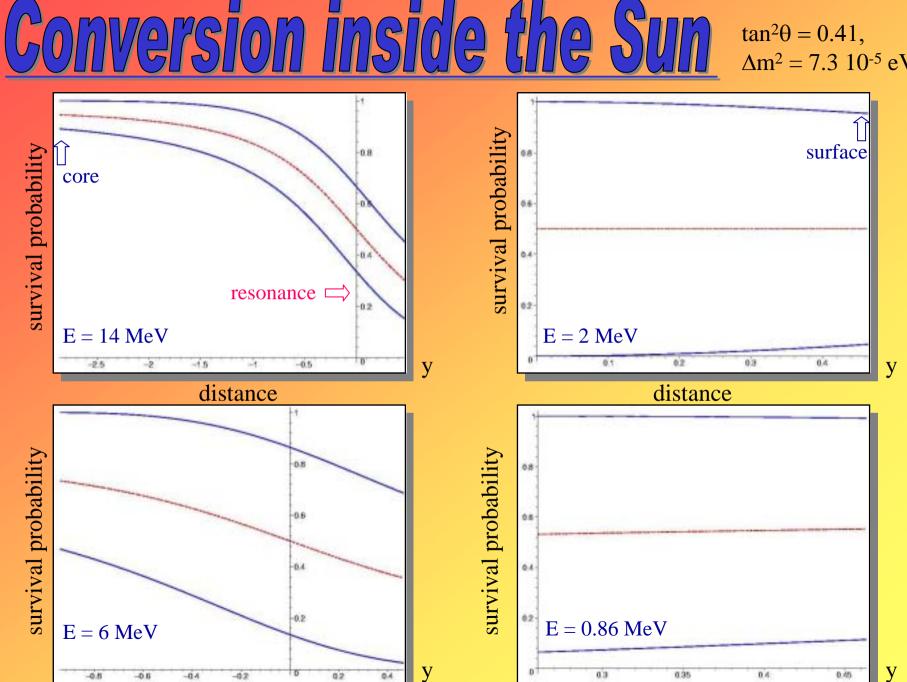


Oscillations with small matter effect

Conversion + oscillations

Conversion with small oscillation effect

Non-oscillatory transition



ide the Ear

- Averaging of oscillations, divergency of the wave packets
- incoherent fluxes of v_1 and v_2 arrive at the surface of the Earth
- \blacksquare v₁ and v₂ oscillate inside the Earth Regeneration of the v_e flux

 l_{v}/l_{0} E =

Os

+a

$$P \sim \sin^2 \theta + f_{reg}$$

$$f_{reg} \sim 0.5 \sin^2 2\theta l_v / l_0$$

The Day -Night asymmetry:

 $A_{ND} = f_{reg}/P \sim 3 - 5 \%$

$$f_{reg}$$

$$\int_{a_1}^{b_2} - 0.03$$

$$f_{reg}$$

$$\int_{a_2}^{a_2} \int_{a_3}^{a_2} \int_{a_4}^{a_5} \int_{a_5}^{a_5} \int_{a_5}^{a_5}$$

Is the Solar Neutrino Problem Resolved?

Accepting the first KamLAND result





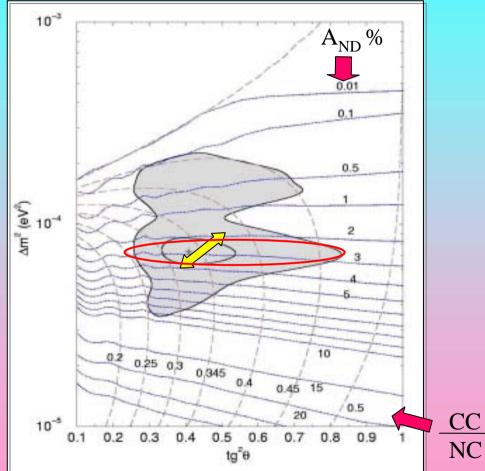
All other possibilities are excluded as leading effects

Still the allowed region should be diminished to identify physical processes:

High Δm^2	Averaged vacuum oscillations with small matter corrections
Low Δm^2	Non-oscillatory adiabatic conversion (for $E > 5$ MeV)
Small mixing:	Resonance description is valid
Maximal mixing:	"Resonance" is at zero density



P.de Holanda, A.S. hep-ph/0212270



Lines of constant CC/NC ratio and Day-Night asymmetry at SNO



Identification of the unique region:

2v analysis, sub-leading effects (13 -mixing) can be neglected

Precision measurements:

Possible sub-leading effects should be included. Generic 3v analysis should be performed. Problem of degeneracy of parameters appears

LMA: Consistency Checks

Over determine solution, cross checks

Day -Night Asymmetries:

 $\begin{array}{l} A_{\rm ND}({\rm SNO}) = 2 - 5 \ \% \ , \\ A_{\rm DN}({\rm SK}) &= 2 - 3 \ \% \end{array}$

Spectrum distortion:

Rate at the intermediate energies BOREXINO/KamLAND

Seasonal variations

Turn up at low energies: 5 - 10 %

 $R = (0.6 - 0.7)R_{SSM}$

Small (unobservable) effect: $A_{WS} < 0.5\%$

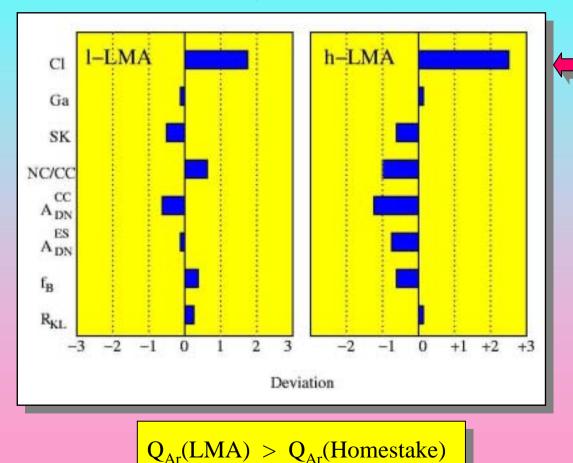
Low energy experiments

- **Implications**
- further confirmation of LMA
- precise determination of the neutrino parameters
- searches for physics ``beyond the LMA''

in the b.f. point



Pull-off diagrams for the best fit points of the l- and h- LMA regions



 $(2 - 2.5) \sigma$ pull

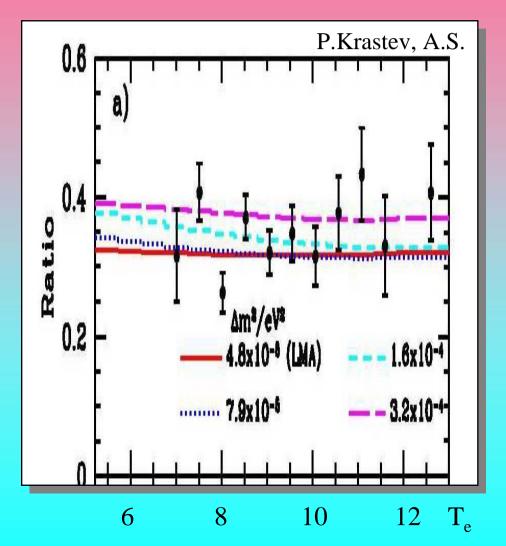
- Statistical fluctuation ?
- Systematics?
 Related to time variations of rate?
- Neutrino properties: conversion driven by second Δm²



Additional deep in the suppression pit at E ~ 1 MeV?

Spectrum distortion at SNO

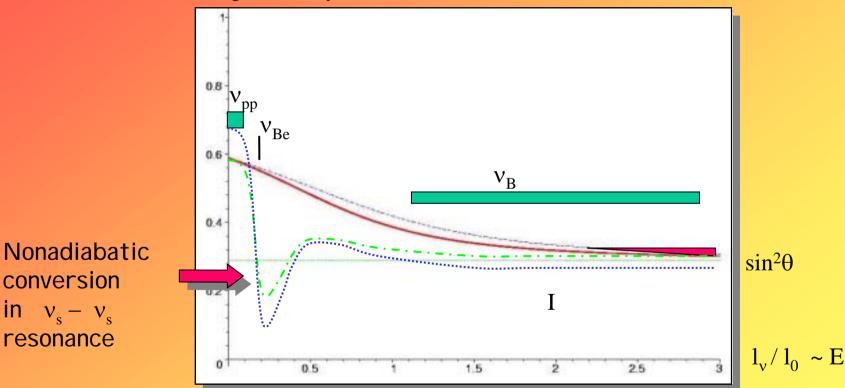
Turn up of the spectrum at low energies is expected





New (sterile) neutrino with m \sim (2 - 3) 10⁻³ eV which mixes weakly with active neutrinos

Survival probability

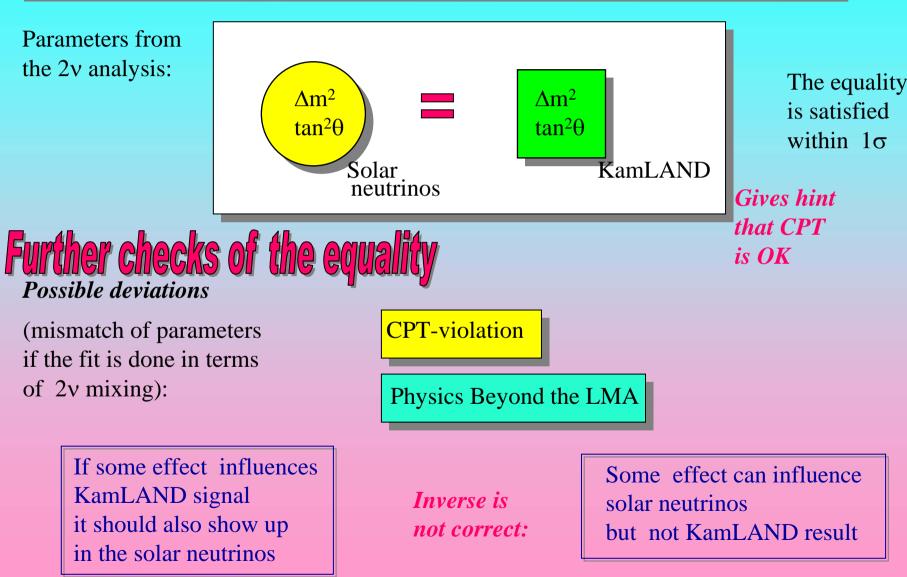




Reduced Ar-production rate No (or weak) turn up of the spectrum

- Reduced rate in BOREXINO
- Smaller Germanium production rate or smaller sin²θ and larger original boron neutrino flux

Solar Neutrinos versus KamLAND



Solar neutrinos have much higher sensitivity to Physics Beyond the LMA

 $\Delta m^2 - \theta$ region of sensitivity is much larger for solar neutrinos than for KamLAND

Additional small Δm^2 or/and θ can strongly influence the solar neutrinos but not KamLAND

- Magnetic moment: the Earth magnetic field is too small
- Non-standard interactions (NSI) of neutrinos do not change the KL signal since the matter effect is small

Even in the CPT conserving case one can find

Testing the theory of conversion

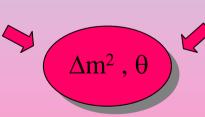
Solar neutrinos

- Adiabatic conversion (MSW)
- Matter effect dominates (at least in the HE part)
- Non-oscillatory transition the oscillation phase is irrelevant



- Vacuum oscillations
- Matter effect is very small
- Oscillation phase is crucial for observed effect

Adiabatic conversion formula



Vacuum oscillation formula

Coincidence of these parameters determined from the solar neutrino data and from KamLAND results testifies for the correctness of the theory (phase of oscillations, matter potential, etc..) See also F.L. Fogli et al., hep-ph/0211414



Physics of sub-leading effects

LMA MSW +

- + SFP
- + nu-sterile

- **Signatures**
- Searches for v_e flux
 Time variations
 Beyond single Δm²
 context

Implications

Magnetic moment, magnetic fields inside the Sun

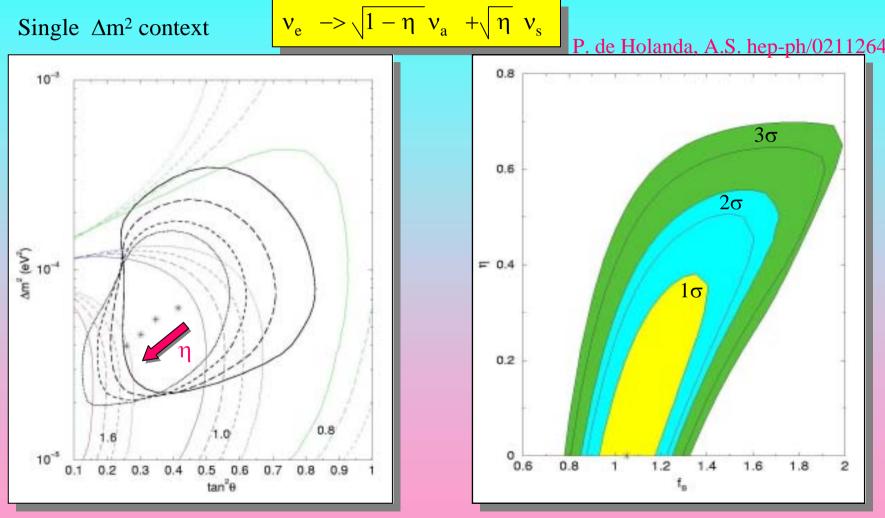
CC/NC
 Additional distortion of spectrum

to the matter effect

Additional contribution

- (non-standard neutrino interaction)
 - + VEP
 - (violation of equivalence principle)

Searches for Nu-sterile



The 3σ allowed region for $\eta = 0.0, 0.2, 0.4, 0.6$ (from right to left)

The allowed region in the $\eta - f_B$ (boron neutrino flux) plane for different confidence levels



Post-KamLAND'' study in the context of single

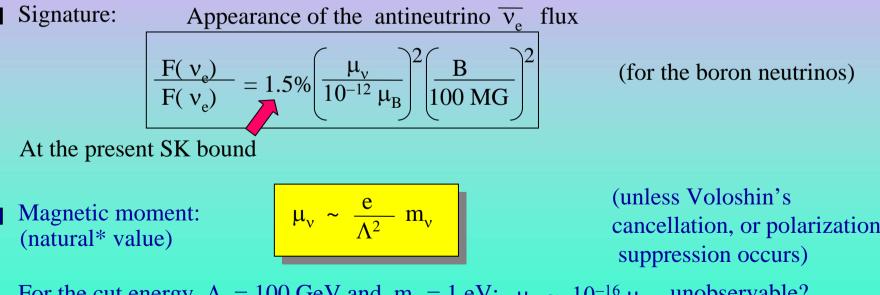
$$\Delta m^2 = \Delta m^2 {}_{LMA}$$

Spin-flavor precession (resonance, non-resonance

E. Akhmedov, J. Pulido hep-ph/0209192

The effect (neutrino spin flip) is in the central regions of the Sun (radiative zone)

 $B>10\;MG$



For the cut energy $\Lambda = 100 \text{ GeV}$ and $m_v = 1 \text{ eV}$: $\mu_v \sim 10^{-16} \mu_B$ unobservable?

Beyond single Δm^2 : if for second $\Delta m^2 << \Delta m^2 \dots$ effect can be much larger.

Solar Neutrino Astrophysics

Back to the original task: J.N.Bahcall G.T.Zatsepin V.A. Kuzmin

The spectroscopy of Solar Neutrinos to study interior properties of the Sun Diagnostics of the Sun

Determination of the original solar neutrino fluxes

In a sense these fluxes do not exist since neutrino conversion starts already in the neutrino production region

Still we can introduce these fluxes since there is no back influence of neutrino conversion on the solar characteristics and production of neutrinos. Conversion effects can be subtracted

> J. N. Bahcall, M. Gonzalez-Garci C. Pena-Garay astro-ph/0212331



Determine neutrino fluxes from pp- and CNO - cycles Searches for time variations of fluxes Reconstruction of neutrino mass and flavor spectrum

Fundamental problem of particle physics

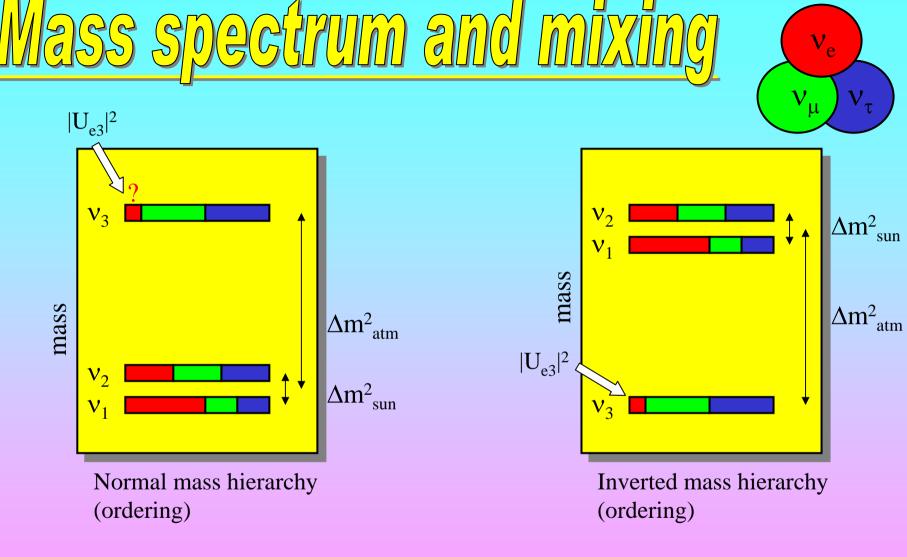
Precise determination of parameters

Physics beyond the Standard Model

> Mechanism of neutrino mass generation

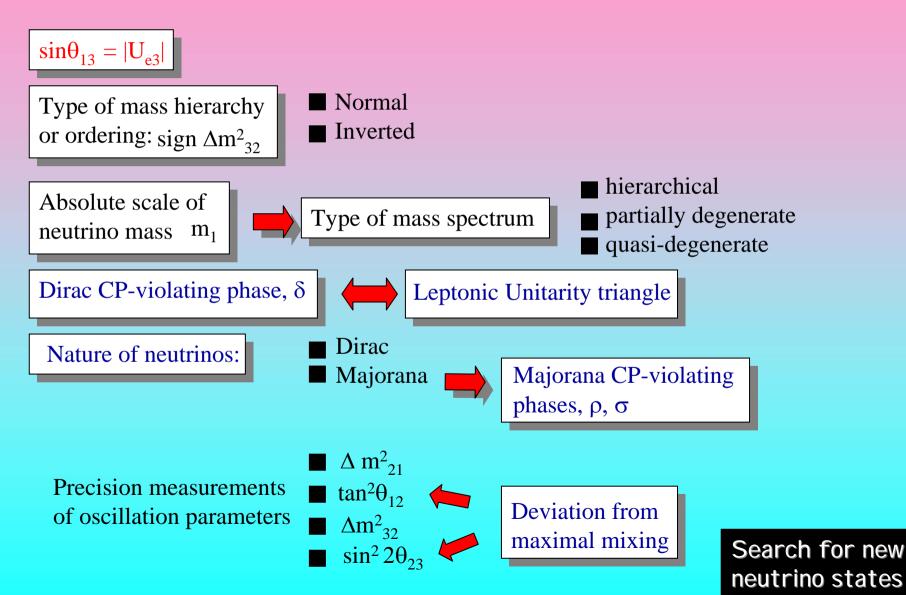
I mplications for astrophysics and Cosmology

Fermion mass problem Unification of particles and forces, GU



Type of mass spectrum: with Hierarchy, Ordering, Degeneracy absolute mass scale
 Type of the mass hierarchy: Normal, Inverted
 U_{e3} = ?





Standard and Non-Standard

Standard picture

- **Three neutrinos**
- ☐ Massive, $m \leq 1 \text{ eV}$
- Bi-large orLarge-maximalmixing
- Smallness of neutrino mass is related to Majorana nature

Oscillations and matter conversion -common phenomena

Beyond the Standard

- New neutrino states Sterile neutrinos
- New interactions
- Large anomalous dipole moments



. . .

Effects of violation of

- Lorentz invariance CPT,
- Equivalence principle

Atmospheric Neutrinos: Any problem?

Very compelling evidence that vacuum oscillations



is the dominant mechanism of the atmospheric neutrino conversion

- SuperKamiokande
- K2K
- MACRO
- SOUDAN

KamLAND gives an additional confirmation of the oscillation picture

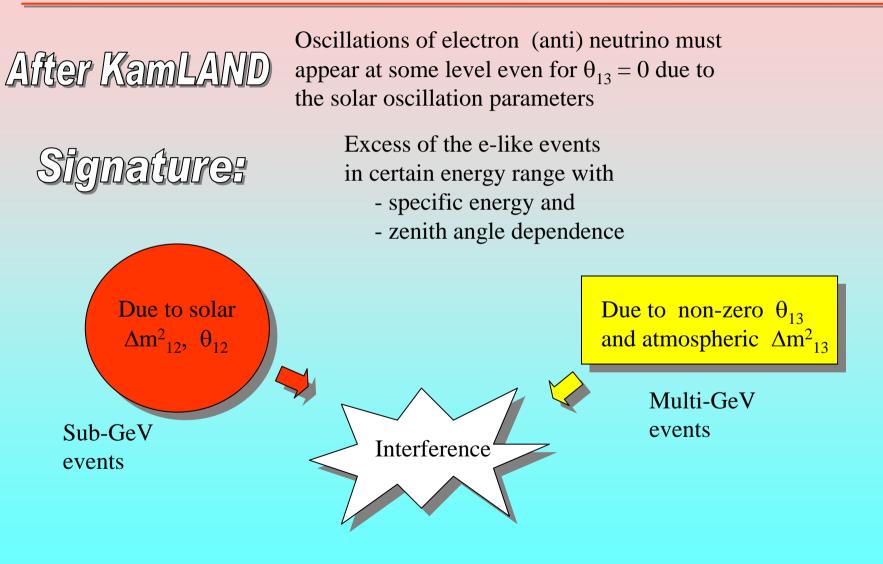
- confirms oscillations
- large/maximal mixing in the lepton sector

Nothing statistically significant beyond this picture

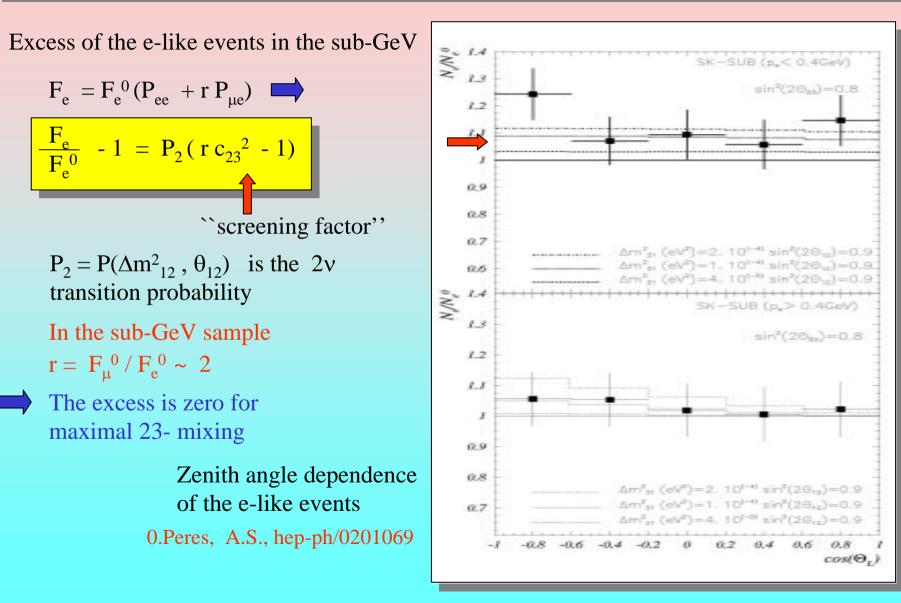




Oscillations of atmospheric nu_e



Oscillations due to solar dm2 and theta





$$\varepsilon = \frac{F_e}{F_e^0} - 1$$

In the best fit points of the l- and h- LMA regions

$\sin^2 2\theta_{23}$	$\epsilon_{l}, \%$	ε _h , %
0.91	2.8	4.8
0.96	1.9	3.2
0.99	0.9	1.6



Once the LMA parameters are known: restrict deviation of 23-mixing from maximal by measuring ϵ



Excess of the e-like events in multi-GeV region

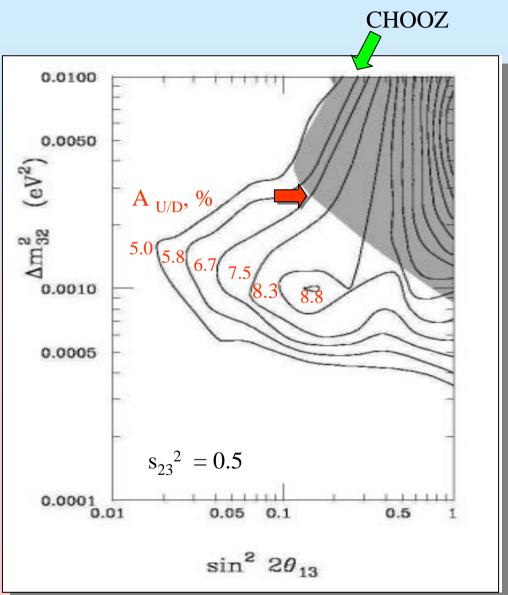
$$A_{U/D} = 2 \frac{U - D}{U + D}$$

U:
$$\cos \Theta_{Z} = (-1 - - 0.6)$$

D: $\cos \Theta_{Z} = (0.6 - 1)$

Contours of constant up - down asymmetry of the e -like events

Akhmedov, A. Dighe, P. Lipari, A.S.



Induced Interference

Zenith angle dependence of the e-like events

Excess of the e-like events in the sub-GeV

$$F_{e} - 1 = P_{2}(r c_{23}^{2} - 1)$$

$$F_{e}^{0} - r s_{13} \sin 2\theta_{23} \operatorname{Re} (A^{*}_{ee} A_{e\mu})$$

$$- s^{2}_{13} [2(1 - r s^{2}_{23}) + P_{2} (r - 2)]$$

$$P_2 = |A_{e\mu}|^2$$
 is the 2v transition probability

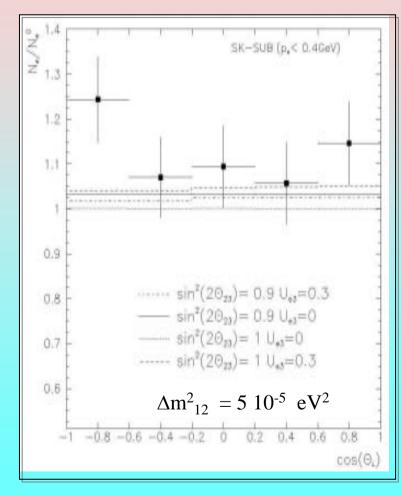
Interference term:

- linear in s₁₃
- no screening effect
- has opposite sign for neutrinos and antineutrinos
- maximal if $\Delta m_{12}^2 = 7 \ 10^{-5} \ eV^2$

Can be dominant if 23-mixing is maximal:

$$\varepsilon^{\text{int}} \sim 5\% \frac{s_{13}}{0.3}$$

(in maximum)

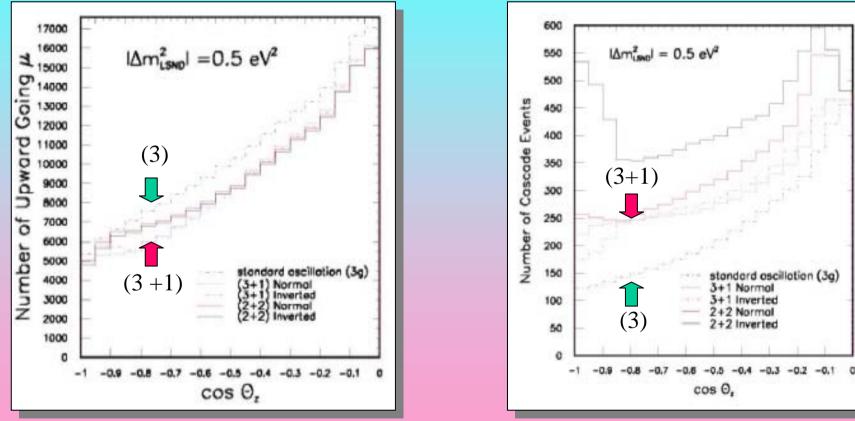


0.Peres, A.S., hep-ph/0201069

Sterile Neutrino

H. Nunokawa, O.L.G.Peres, R. Zukanovich Funchal, hep-ph/0302039

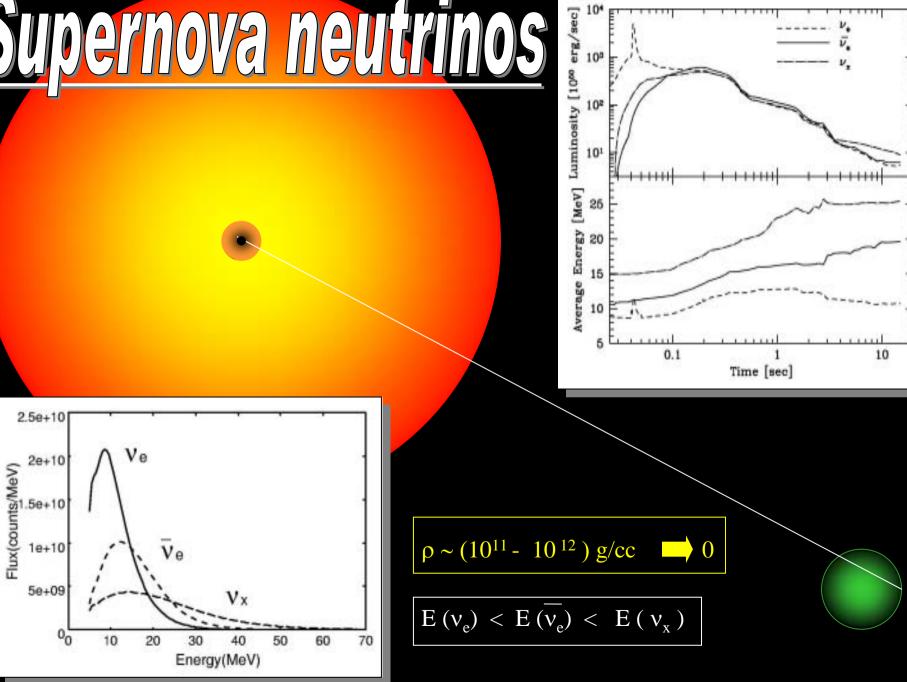
Fourth neutrino with $\Delta m^2 \sim \Delta m^2_{LSND} \sim 0.5 \text{ eV}^2$ resonance in the 0.5 - 1.5 TeV range For (3+1) scheme resonances are in $v_e = v_s$, $\overline{v_{\mu/\tau}} = \overline{v}_s$ channels IceCube



Upward-going muons

Searches new neutrino states
 Observation of the parametric effects

Cascade events (induced by the CC interactions of electron, and tau neutrino and by the NC scattering)



neutrinos and MSW effect

- The MSW effect can be realized in very large interval of neutrino masses (Δm^2) and mixing
- Very sensitive way to search for new (sterile) neutrino states

$$\Delta m^2 = (10^{-6} - 10^{7}) eV^2$$

$$\sin^2 2\theta = (10^{-8} - 1)$$

The conversion effects

Type of the mass hierarchy \Rightarrow Strength of the 1-3 mixing (s₁₃)

A way to probe the hierarchy and value of s_{13}

Small mixing angle realization of the MSW effect

If 1-3 mixing is not too small

 $s_{13}^2 > 10^{-5}$

strong non-oscillatory conversion is driven by 1-3 mixing

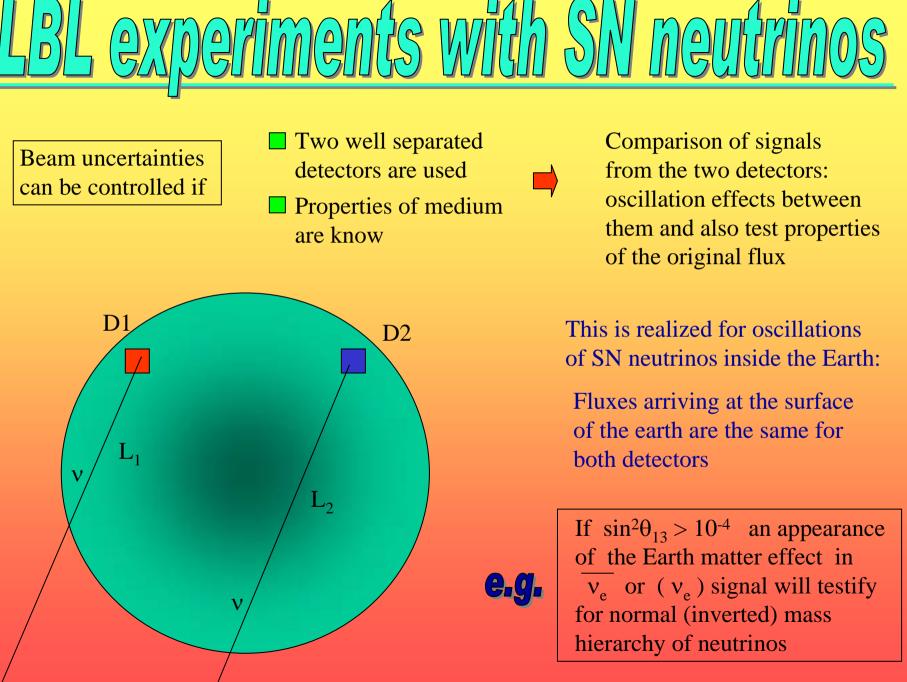
In the case of normal mass hierarchy:

$$\nu_e <-> \nu_\mu / \nu_\tau$$

$$F(v_e) = F^0(v_{\mu})$$

hard v_{e} - spectrum

No earth matter effect in v_e - channel but in v_e - channel



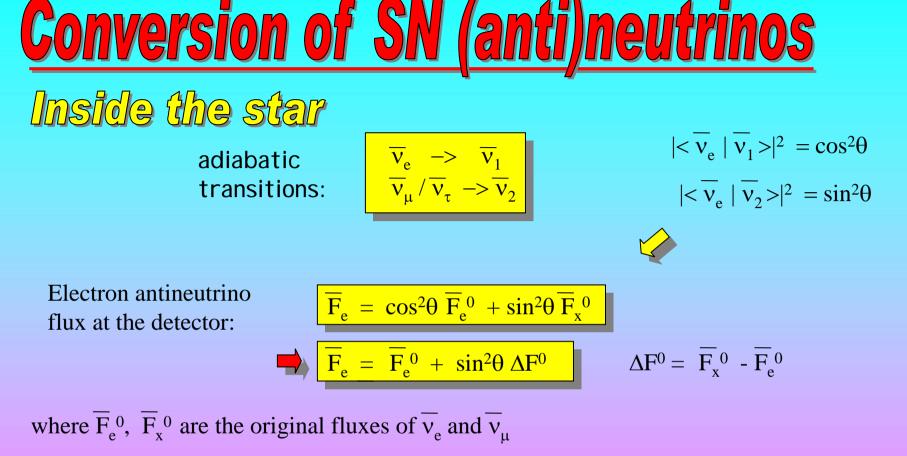
Neutrinos from SN1987A after KamLAND

Certainly, neutrino signal from SN1987A has been affected by conversion inside the star and probably oscillations in the matter of the Earth

Effects of neutrino conversion must be taken into account in the analysis of neutrino data:

- determination of parameters of the original neutrino fluxes,
- comparison of signals in different detectors

The observable conversion effect depends also on the difference of original spectra of the electron and muon/tau antineutrinos



Inside the Earth $\overline{v_1} \text{ and } \overline{v_2} \text{ oscillate} \implies \sin^2 \theta \implies p = (1 - \overline{P}_{1e})$ \overline{P}_{1e} is the probability of $\overline{v_1} \rightarrow \overline{v_e}$ transition in the matter of the Earth

 $\overline{F}_{e} = \overline{F}_{e}^{0} + p \Delta F^{0}$

SN87A and the Earth matter effect

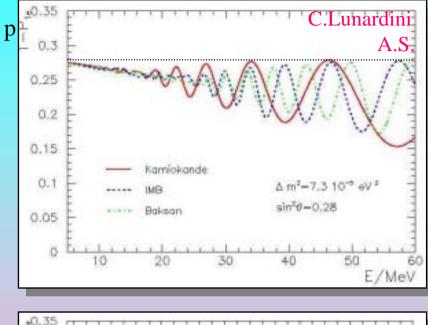
 $F(\overline{v_e}) = F^0(\overline{v_e}) + p \Delta F^0$

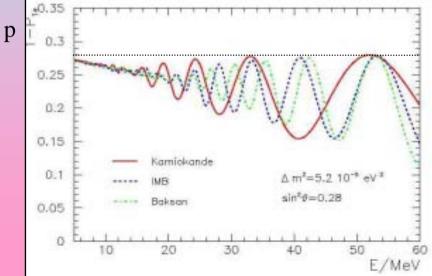
 $p = (1 - P_{1e}) \text{ is the permutation factor}$ $P_{1e} \text{ is the probability of } \overline{v_1} \rightarrow \overline{v_e} \text{ transition}$ inside the Earth $\Delta F^0 = F^0(\overline{v_u}) - F^0(\overline{v_e})$

p depends on distance traveled by neutrinos inside the earth to a given detector:

 $d = \begin{cases} 4363 \text{ km} & \text{Kamioka} \\ 8535 \text{ km} & \text{IMB} \\ 10449 \text{ km} & \text{Baksan} \end{cases}$

Can partially explain the difference of energy distributions of events detected by Kamiokande and IMB: at E ~ 40 MeV the signal is suppressed at Kamikande and enhanced at IMB



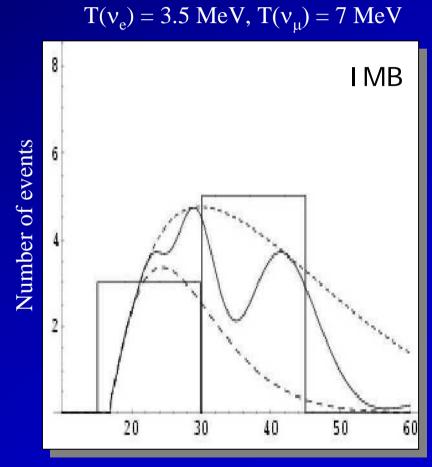




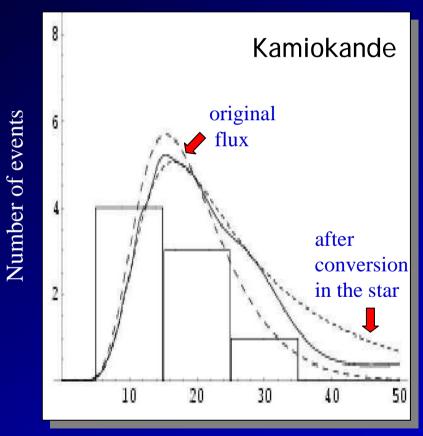
 $\cos 2\theta = 0.5$

 $\Delta m^2 = 2.75 \ 10^{-5} \ eV^2$

C. Lunardini, A.S. PRD 63, 073009 (2001)



Energy, MeV

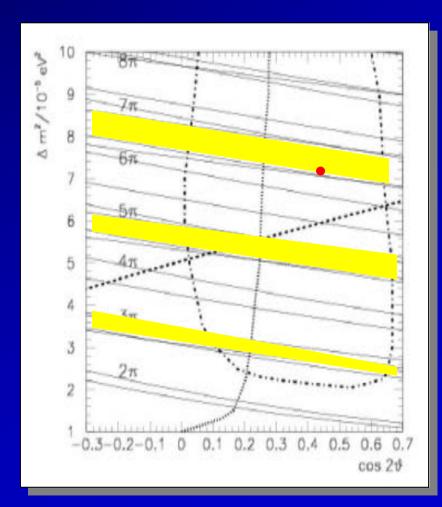


Energy, MeV

Reconciling Kamiokande and IMB

Bands of equal oscillation phases: $\phi_{IMB}(40 \text{MeV}) \sim k\pi$ $\phi_{K2}(40 \text{MeV}) \sim (1/2 + k)\pi$

C. Lunardini, A.S. PRD 63 073009



Probing mass hierarchy and 1-3 mixing

Extreme cases	A. Normal hierarchy large 1-3 mixing	B. Inverted hierarchy large 1-3 mixing	C. Very small 1-3 mixing
\overline{v}_{e} -spectrum	composite, weakly (sin $^{2}\theta \sim 1/4$) mixed	unmixed, hard	composite, weakly (sin $^{2}\theta \sim 1/4$) mixed
v _e -spectrum	unmixed, hard	composite, strongly ($\cos^2\theta \sim 3/4$) permuted	composite, strongly ($\cos^2\theta \sim 3/4$) permuted
Earth matter effect	in antineutrino channel	in neutrino channel	both in neutrino and antineutrino channels

Large 1-3 mixing: $\sin^2 \theta_{13} > 10^{-4}$



the observed spectra of v_e and $\overline{v_e}$ - events

Ratio of the neutrino and antineutrino events in the tails

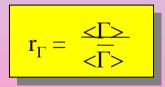
$$R(E_{L}, E_{L}) = \frac{N_{e}(E > E_{L})}{N_{e}(E > E_{L})}$$

Ratio of the total number of neutrino and antineutrino events

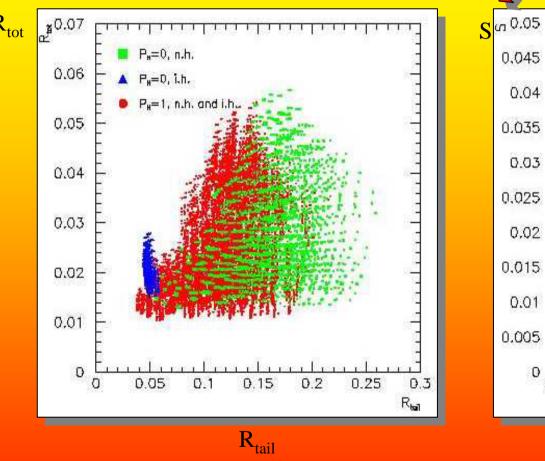
$$\mathbf{R}_{\text{tot}} = \frac{\mathbf{N}_{\text{tot}}}{\mathbf{N}_{\text{tot}}}$$

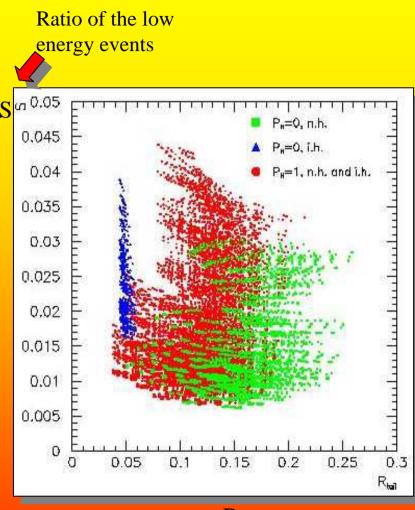
- Ratio of the average energies
- Ratio of the width of the spectra:

$$r_{E} = \frac{\langle E \rangle}{\langle \overline{E} \rangle}$$



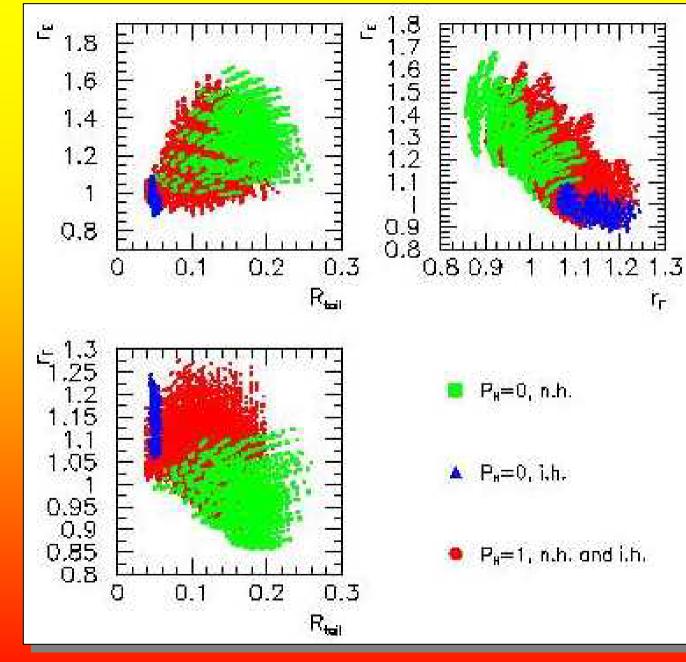






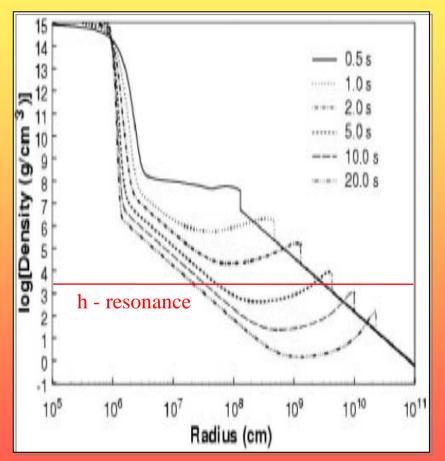
R_{tail}

ter plot 1125



Shock Wave Effect





Density profile with shock wave propagation at various times post-bounce

The shock wave can reach the region relevant for the neutrino conversion

 $\rho \sim 10^4$ g/cc During 3 - 5 s from the beginning of the burst

Influences neutrino conversion if $\sin^2\theta_{13} > 10^{-5}$

The effects are in the neutrino (antineutrino) for normal (inverted) hierarchy:

change the number of events R.C. Schirato, G.M. Fuller, astro-ph/020539

K. Takahashi et al, astro-ph/0212195

delayed Earth matter effect C.Lunardini, A.S., hep-ph/0302033

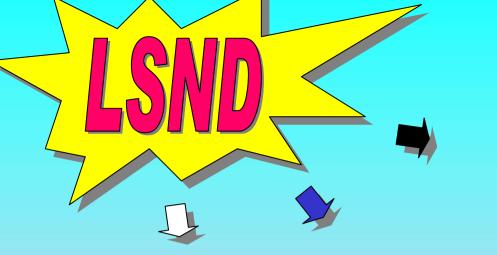
Monitoring shock wave with neutrinos

G. Fuller

Studying effects of the shock wave on the properties of neutrino burst one can get (in principle) information on

time of propagation
velocity of propagation
shock wave revival time
density gradient in the front
size of the front

Can shed some light on mechanism of explosion



Ultimate oscillation anomaly?

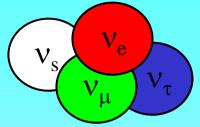
CPT-violation

Non-standard Interactions

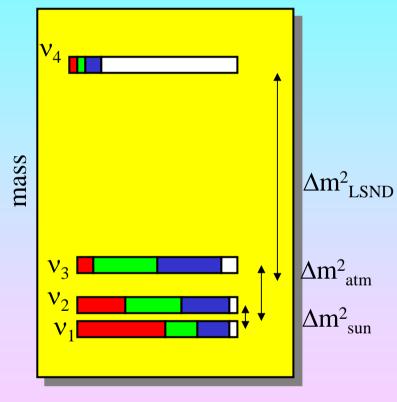
(3 + 1)-scheme Sterile neutrino

Status after KamLAND





``Sterile neutrinos'



Generic possibility of interest even independently of the LSND result

Generation of large mixing of active neutrinos due to small mixing with sterile state

Produces uncertainty in interpretation of results

MiniBOONE:

- checks v_{μ} disappearance
- oscillations $v_{\mu} < -> v_{e}$ and $\overline{v_{\mu}} < -> \overline{v_{e}}$

Non-Standard Interactions

Non-oscillation interpretation

K. S. Babu, and S. Pakvasa hep-ph/0204226

$$\mu^+ \longrightarrow e^+ \overline{\nu_e} \overline{\nu_i}$$
 (e, μ , τ)

Due to exchange of new neutral scalar bosons with M = 300 - 500 GeV

 $|\Delta L| = 2$ key to avoid bounds from $\mu \rightarrow eee$, etc.

Michel parameter $\rho = 0$ \square LSND and KARMEN better consistency ??

No effect for pion decay

Observable effects in

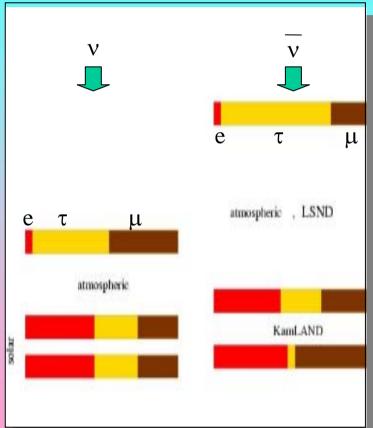
No effect for ``decay in flight'' =>
can not explain LSND data for neutrinos
MiniBOONE can not check even if it will work
both in neutrino and antineutrino modes

$$e^+ e^- --> \mu^+ \mu^-$$

 $e^+ e^- --> \nu \nu \gamma$
 $Z^0 --> e^+ \mu^- \nu \nu$ with $Br = 10^-$

<u>CPT-violation</u>

G. Barenboim et al, hep-ph/0212116 After KamLAND: ultimate possibility?



Mass spectra of neutrinos and antineutrinos In original scenario KamLAND would see very small oscillation effect

- Atmospheric antineutrinos: ~10 15% averaged oscillation effect
- SuperK analysis of CPT excludes such a possibility?
- Strong oscillation effect for v_e driven by KamLAND oscillation parameters ~8% excess of the e-like events in the sub-GeV region
- MINOS: checks of oscillations in the neutrino and antineutrino channels
- KamLAND can not check LMA. However. LOW, VO, SFP, etc., are not excluded yet, further check by SNO, BOREXINO... are needed
 - MiniBOONE: null result in the neutrino mode positive result in the antineutrino mode



The main developments in neutrino physics where related to various ``neutrino anomalies'' (both real and fake). The anomalies were driving force of both theoretical and experimental developments



Are solar and atmospheric neutrino problems solved? What is left? LSND? New anomalies?

Does the ``standard picture'' of neutrino mass and mixing emerge?Do neutrinos change their character becoming more predictable?

Without Anomalies

Well defined program:

- 1). Determination of masses, mixings, CP- phases Precision measurement of parameters
- 2). Searches for new physics beyond ``standard picture'' restrictions of exotics

3). Identification of origins of neutrino mass and mixing

<u>Warning:</u> <u>Future High Energy</u> <u>experiments (LHC ...)</u> <u>may have serious impac</u> <u>on this program</u>

"Technological problems" absolute scale of neutrino mass, CP-phases - big challenge

Non-standard interactions New neutrino states Effects of violation of

- CPT
- Lorenz invariance
- Equivalence principle
- Pauli principle

Reconstruction of neutrino mass matrix tests of the see-saw (and other)

mechanism

- flavor violation processes
- leptogenesis
- high energy experiments

Perspectives



Well defined program characterized by

- further tests
- precision measurements
- searches for /bounds on new physics



New perspectives:

- new neutrino states
- new interactions
- CPT-violation
- ???



Lead to something unexpected - NuTeV - Z⁰ - width - 2??

Some mixture of these scenarios?