
BENE workshop

Resolving parameter degeneracies in long-baseline experiments with atmospheric neutrino data

Thomas Schwetz
SISSA, Trieste

based on:

P. Huber, M. Maltoni, TS, hep-ph/0501037

Outline

- Introduction
- Parameter degeneracies in LBL experiments
- Three-flavour effects in ATM experiments
- Resolving the degeneracies by a combined LBL and ATM analysis
 - simulation of the T2K-II experiment
 - preliminary analysis of CERN-Frejus experiments
- Concluding remarks

Introduction

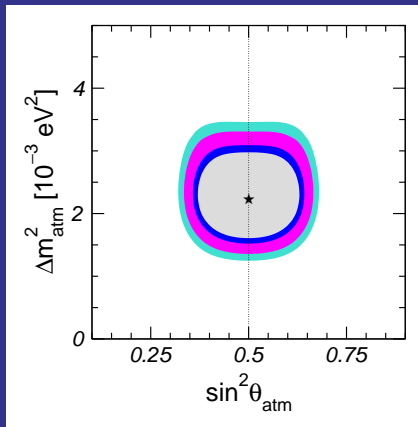
3-flavour neutrino oscillation parameters:

$$U = \begin{matrix} & \Delta m_{31}^2 & & & \Delta m_{21}^2 \\ \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} & \begin{pmatrix} c_{13} & 0 & e^{-i\delta} s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta} s_{13} & 0 & c_{13} \end{pmatrix} & \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \end{matrix}$$

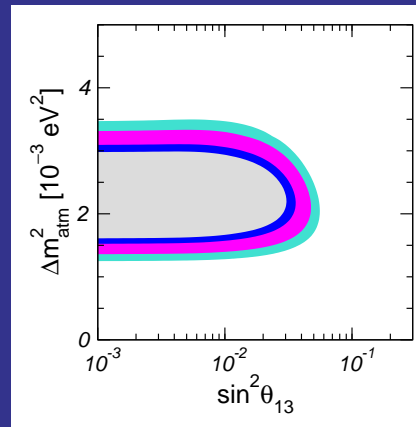
Introduction

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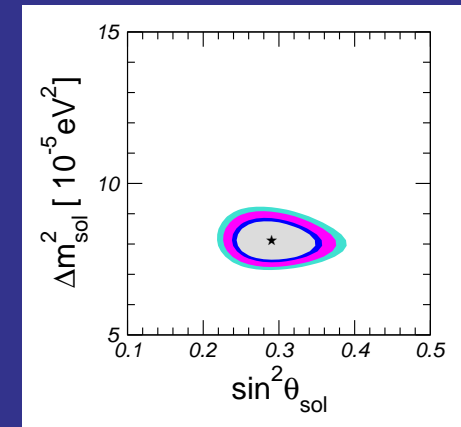
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atmospheric + K2K



CHOOZ



solar + KamLAND

Maltoni, Schwetz, Tortola, Valle, hep-ph/0405172

Introduction

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$$\frac{|\Delta m_{31}^2|}{10^{-3} \text{ eV}^2} = 2.2_{-0.27}^{+0.37}$$

(14%)

$$\sin^2 \theta_{23} = 0.50_{-0.05}^{+0.06}$$

(11%)

atmospheric + K2K

$$\sin^2 \theta_{13} < 0.05 (3\sigma)$$

CHOOZ

$$\frac{|\Delta m_{21}^2|}{10^{-5} \text{ eV}^2} = 7.9 \pm 0.3$$

(4%)

$$\sin^2 \theta_{12} = 0.30_{-0.02}^{+0.03}$$

(9%)

solar + KamLAND

Maltoni, Schwetz, Tortola, Valle, hep-ph/0405172

Introduction

Open questions:

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- How small is θ_{13} ?

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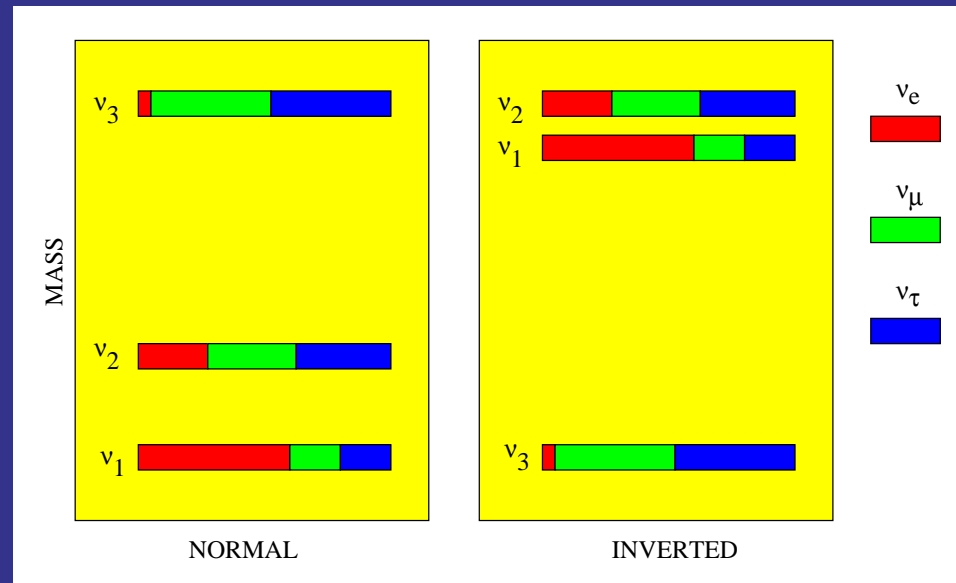
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- What is the value of the CP phase δ_{CP} ?

Introduction

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- How small is θ_{13} ?
- What is the value of the CP phase δ_{CP} ?
- Type of the neutrino mass ordering (sign of Δm_{31}^2)



Parameter degeneracies in LBL experiments

M. Koike, T. Ota, J. Sato, Phys. Rev. D65 (2002) 053015

J. Burguet-Castell et al., Nucl. Phys. B608 (2001) 301

H. Minakata, H. Nunokawa, JHEP 10 (2001) 001

G.L. Fogli, E. Lisi, Phys. Rev. D54 (1996) 3667

V.Barger, D.Marfatia, K.Whisnant, Phys. Rev. D65 (2002) 073023; D66 (2002) 053007

P.Huber, M.Lindner, W.Winter, Nucl. Phys. B645 (2002) 3; Nucl. Phys. B654 (2003) 3

J. Burguet-Castell et al., Nucl.Phys. B646 (2002) 301

A.Donini, D.Meloni, S.Rigolin, JHEP 0406 (2004) 011

and many more (I appologize for omissions)

The $\nu_\mu \rightarrow \nu_e$ oscillation probability

$P_{\mu e}$ in vacuum to leading order in $\sin^2 2\theta_{13}$ and α

$$\begin{aligned} P_{\mu e} &\simeq \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \Delta_{31} \\ &+ \alpha \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \Delta_{31} \sin \Delta_{31} \cos(\Delta_{31} \pm \delta_{\text{CP}}) \\ &+ \alpha^2 \sin^2 2\theta_{12} \cos^2 \theta_{23} \Delta_{31}^2 \end{aligned}$$

with

$$\alpha \equiv \frac{\Delta m_{21}^2}{\Delta m_{31}^2}, \quad \Delta_{31} \equiv \frac{\Delta m_{31}^2 L}{4E_\nu}$$

The 8-fold degeneracy

- The **intrinsic** or $(\delta_{\text{CP}}, \theta_{13})$ degeneracy

M. Koike, T. Ota, J. Sato, Phys. Rev. D65 (2002) 053015

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several solutions in the $(\delta_{\text{CP}}, \theta_{13})$ plane

$$P_{\mu e} \simeq \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \Delta_{31} + \alpha^2 \sin^2 2\theta_{12} \cos^2 \theta_{23} \Delta_{31}^2 \\ + \alpha \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \Delta_{31} \sin \Delta_{31} \cos(\Delta_{31} \pm \delta_{\text{CP}})$$

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ν_{μ} -disappearance channel gives only $\sin^2 2\theta_{23}$

solutions for θ_{23} and $\pi/2 - \theta_{23}$ (affects mainly $\sin^2 2\theta_{13}$)

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overall an **8-fold degeneracy**

V.Barger, D.Marfatia, K.Whisnant, Phys. Rev. D65 (2002) 073023

The **T2K-II** long-baseline experiment

4 MW superbeam at JPARC

mean neutrino energy: 0.76 GeV (2° OA)

1 Mt Cherenkov detector at Kamioka

baseline: 295 km

	ν (2 Mt yrs)	$\bar{\nu}$ (6 Mt yrs)
$\nu_\mu \rightarrow \nu_e$ signal	21 300	16 000
$\nu_\mu \rightarrow \nu_e$ background	2 140	3 260
$\nu_\mu \rightarrow \nu_\mu$ signal	73 200	75 600
$\nu_\mu \rightarrow \nu_\mu$ background	340	320

$$\sin^2 2\theta_{13} = 0.05, \sin^2 \theta_{23} = 0.5, \sin^2 \theta_{12} = 0.3, \delta_{\text{CP}} = 0,$$

$$\Delta m_{21}^2 = 8.1 \times 10^{-5} \text{ eV}^2, \Delta m_{31}^2 = 2.2 \times 10^{-3} \text{ eV}^2$$

Analysis method

Calculation of event rates for given experiment:

$$N_i(\alpha) = \Phi \cdot \sigma \cdot R \cdot \epsilon \cdot P(\hat{\theta})$$

Φ : neutrino flux

σ : detection cross section

R : energy resolution

ϵ : efficiencies

$P(\hat{\theta})$: 3-flavour osc. prob., $\hat{\theta} = (\Delta m_{21}^2, \Delta m_{31}^2, \theta_{12}, \theta_{23}, \theta_{13}, \delta)$

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simulate data for “true values” $\hat{\theta}^{\text{true}}$: $N_i(\hat{\theta}^{\text{true}})$

$\chi^2(\hat{\theta}; \hat{\theta}^{\text{true}}) \rightarrow$ allowed regions for $\hat{\theta}$

including systematical errors, correlations, degeneracies

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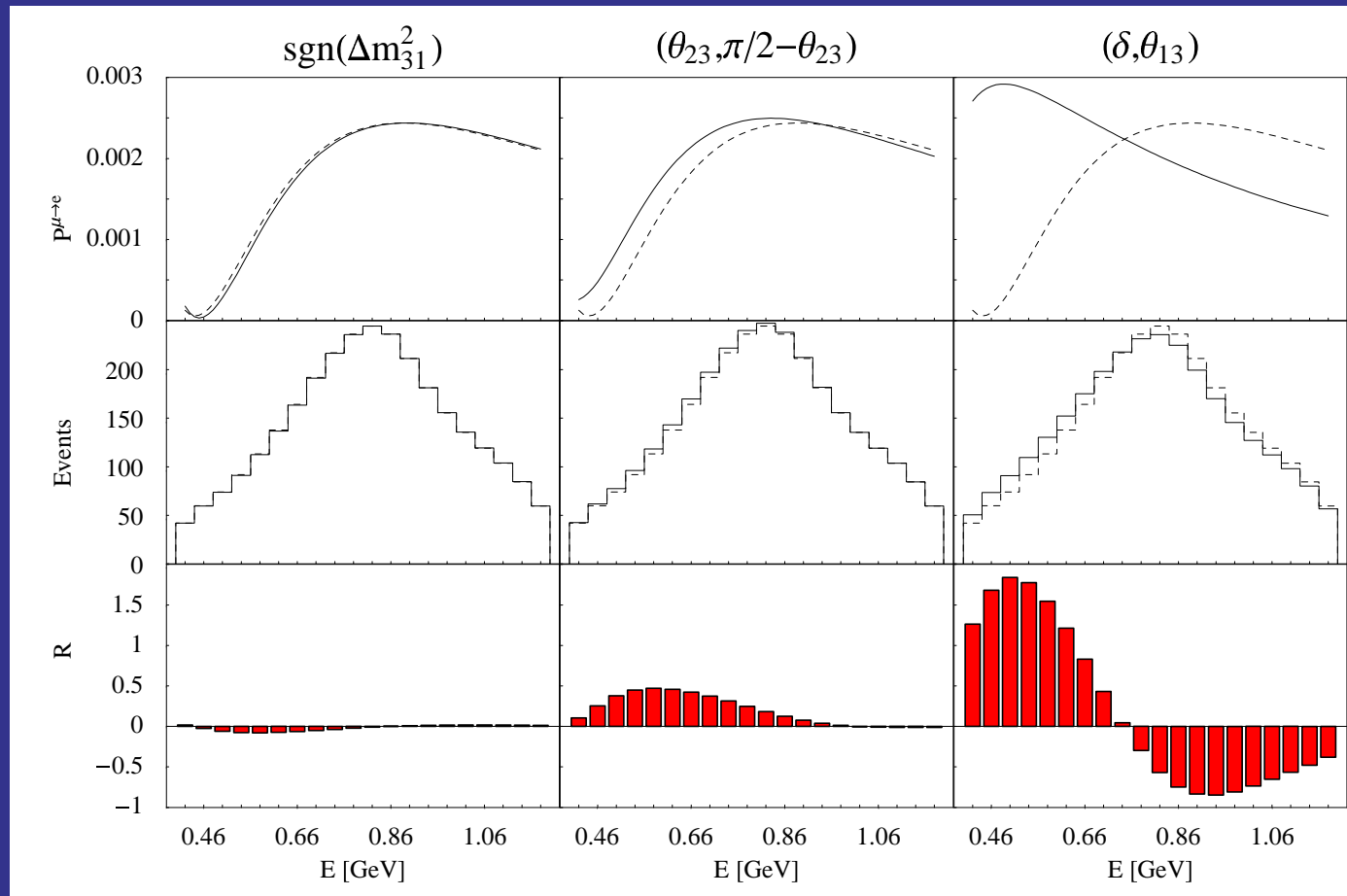
including systematical errors, correlations, degeneracies

GLoBES software

P. Huber, M. Lindner, W. Winter, hep-ph/0407333

<http://www.ph.tum.de/~globes/>

Degeneracies and T2K-II



$$\sin^2 2\theta_{13} = 0.01$$

$$\delta_{\text{CP}} = \pi/4$$

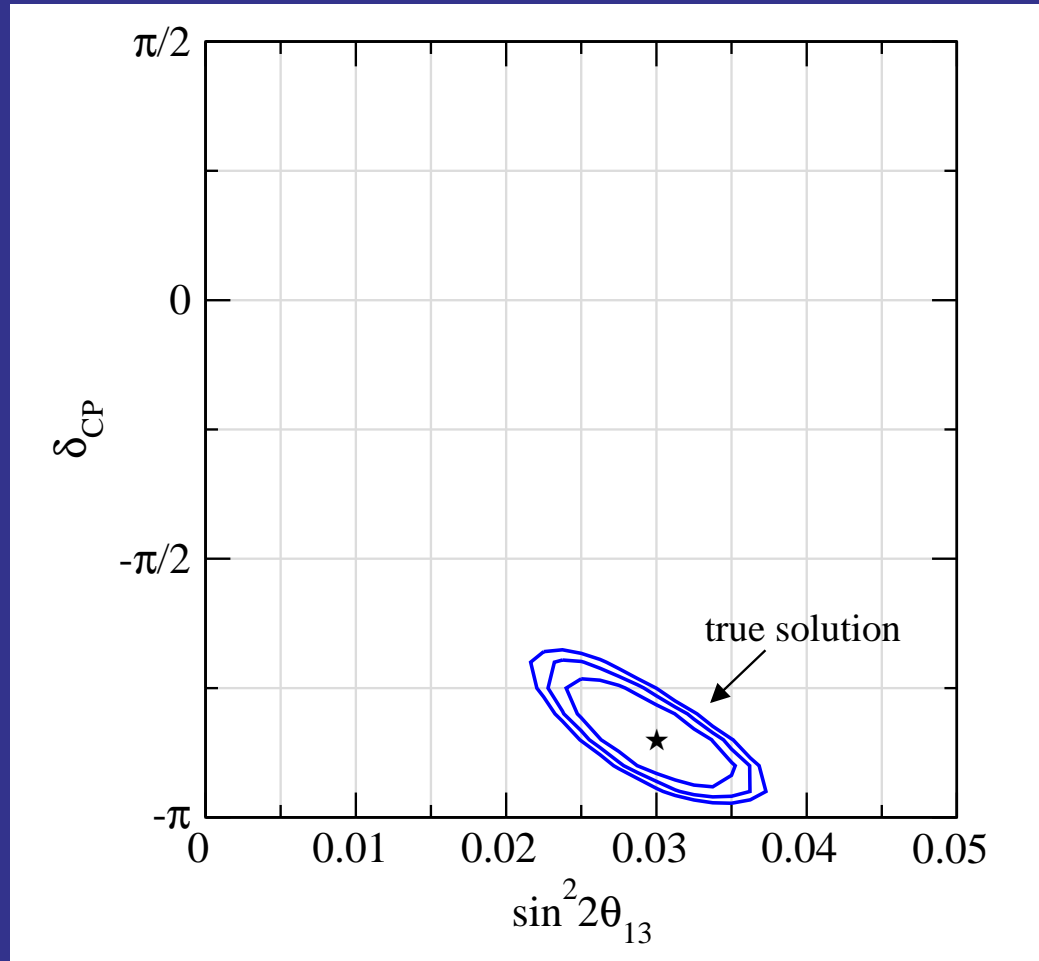
$$\sin^2 \theta_{23} = 0.3$$

$$R = \frac{N_i^{\text{tr}} - N_i^{\text{deg}}}{\sqrt{(N_i^{\text{tr}} + N_i^{\text{deg}})/2}}$$

P.Huber, M.Lindner, W.Winter, Nucl. Phys. B645 (2002) 3

The intrinsic degeneracy is absent for T2K-II

Degeneracies and T2K-II



True values:

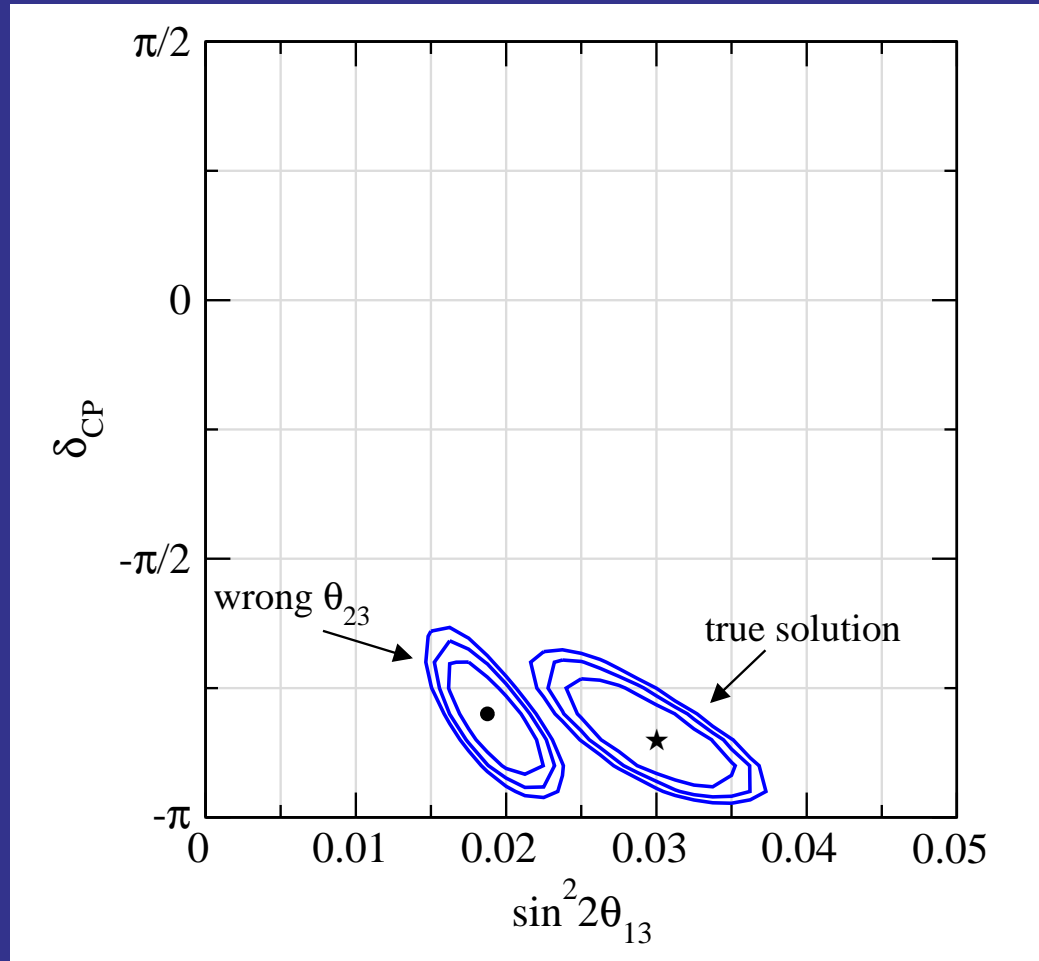
$$\sin^2 2\theta_{13} = 0.03$$

$$\delta_{\text{CP}} = -0.85\pi$$

$$\sin^2 \theta_{23} = 0.4$$

$$\Delta m_{31}^2 = 2.2 \times 10^{-3} \text{eV}^2$$

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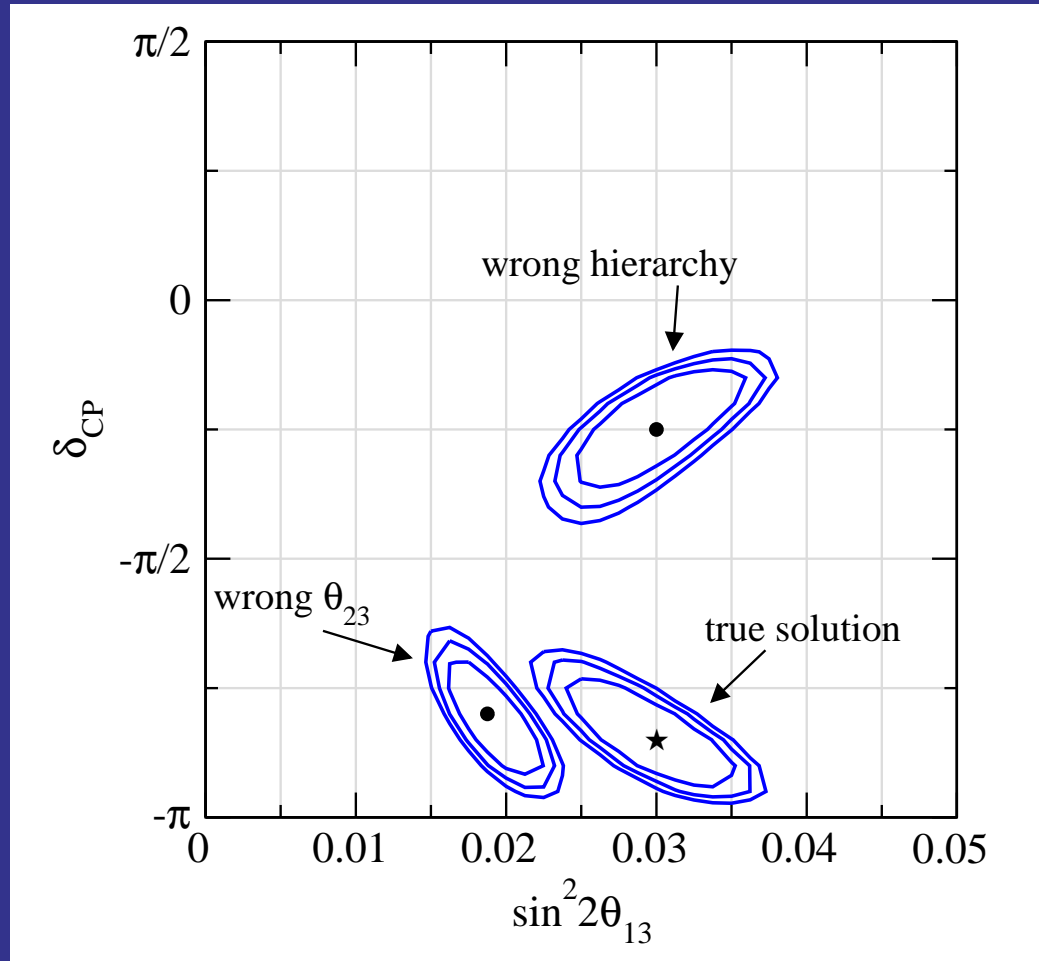
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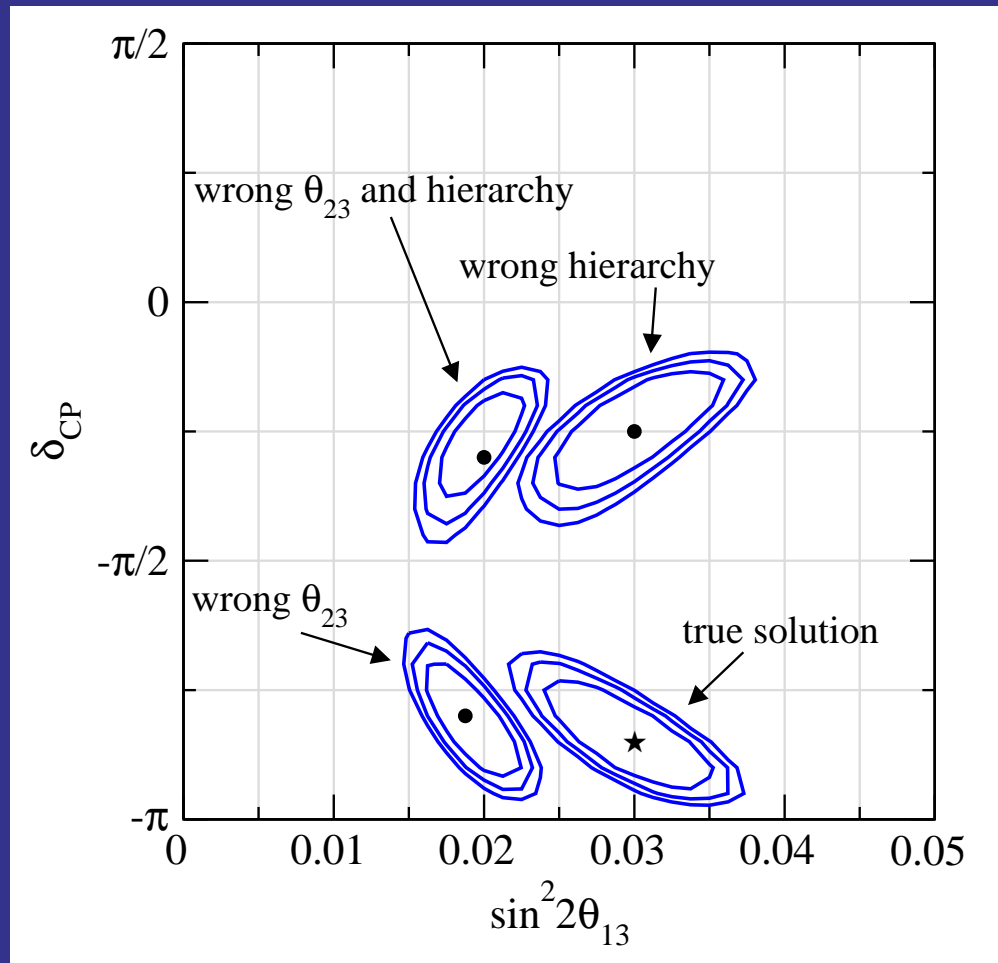
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ambiguities in θ_{13} and δ_{CP}
no information on the hierarchy

3-flavour effects in atmospheric neutrinos

Petcov, Phys. Lett. B434, 321 (1998), hep-ph/9805262

Akhmedov, Nucl. Phys. B538, 25 (1999), hep-ph/9805272

Akhmedov, Dighe, Lipari, Smirnov, Nucl. Phys. B542, 3 (1999), hep-ph/9808270

Kim, Lee, Phys. Lett. B444, 204 (1998), hep-ph/9809491

Bernabeu, Palomares-Ruiz, Perez, Petcov, Phys. Lett. B531, 90 (2002), hep-ph/0110071

Bernabeu, Palomares-Ruiz, Petcov, Nucl. Phys. B669, 255 (2003), hep-ph/0305152

Peres, Smirnov, Phys. Lett. B456, 204 (1999), hep-ph/9902312

Peres, Smirnov, Nucl. Phys. B680, 479 (2004), hep-ph/0309312

Gonzalez-Garcia, Maltoni, Eur. Phys. J. C26, 417 (2003), hep-ph/0202218

Gonzalez-Garcia, Maltoni, Smirnov, Phys. Rev. D70, 093005 (2004), hep-ph/0408170

3-flavour effects in atmospheric neutrinos

excess of electron-like events:

$$\begin{aligned} \frac{N_e}{N_e^0} - 1 &\simeq (r s_{23}^2 - 1) P_{2\nu}(\Delta m_{31}^2, \theta_{13}) && \theta_{13}\text{-effects} \\ &+ (r c_{23}^2 - 1) P_{2\nu}(\Delta m_{21}^2, \theta_{12}) && \Delta m_{21}^2\text{-effects} \\ &- 2s_{13}s_{23}c_{23} r \operatorname{Re}(A_{ee}^* A_{\mu e}) && \text{interference: } \delta_{\text{CP}} \end{aligned}$$

$$r = r(E_\nu) \equiv \frac{F_\mu^0(E_\nu)}{F_e^0(E_\nu)} \quad \begin{array}{l} r \approx 2 \quad (\text{sub-GeV}) \\ r \approx 2.6 - 4.5 \quad (\text{multi-GeV}) \end{array}$$

θ_{13} -effects

$$\frac{N_e}{N_e^0} - 1 \simeq (r s_{23}^2 - 1) P_{2\nu}(\Delta m_{31}^2, \theta_{13})$$

resonant matter effect in $P_{2\nu}(\Delta m_{31}^2, \theta_{13})$
for multi-GeV events ($r \approx 2.6 - 4.5$)

normal hierarchy: enhancement for neutrinos

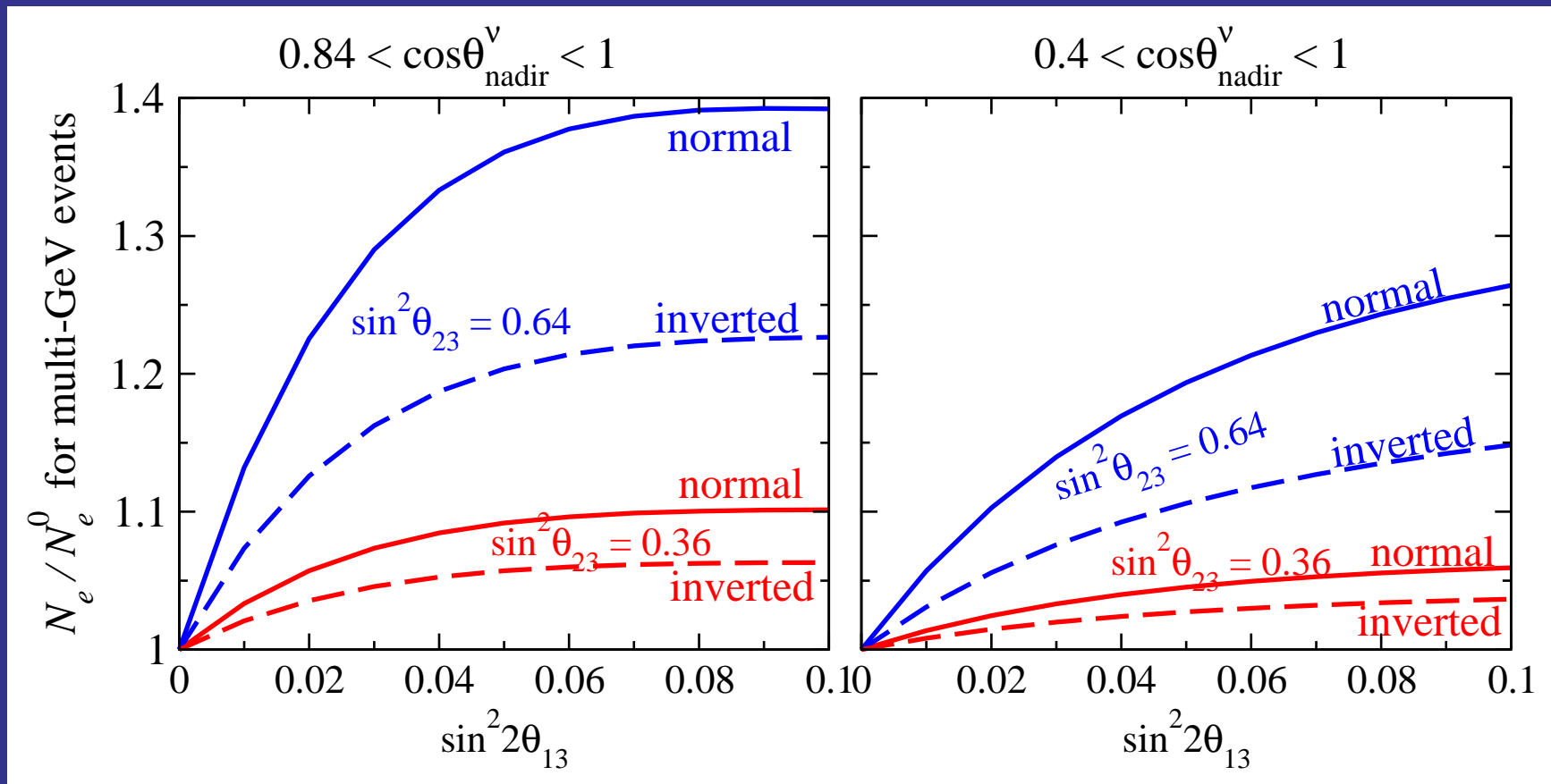
inverted hierarchy: enhancement for anti-neutrinos

detection cross sections are different for neutrinos
and anti-neutrinos

sensitivity to the neutrino mass hierarchy

θ_{13} -effects

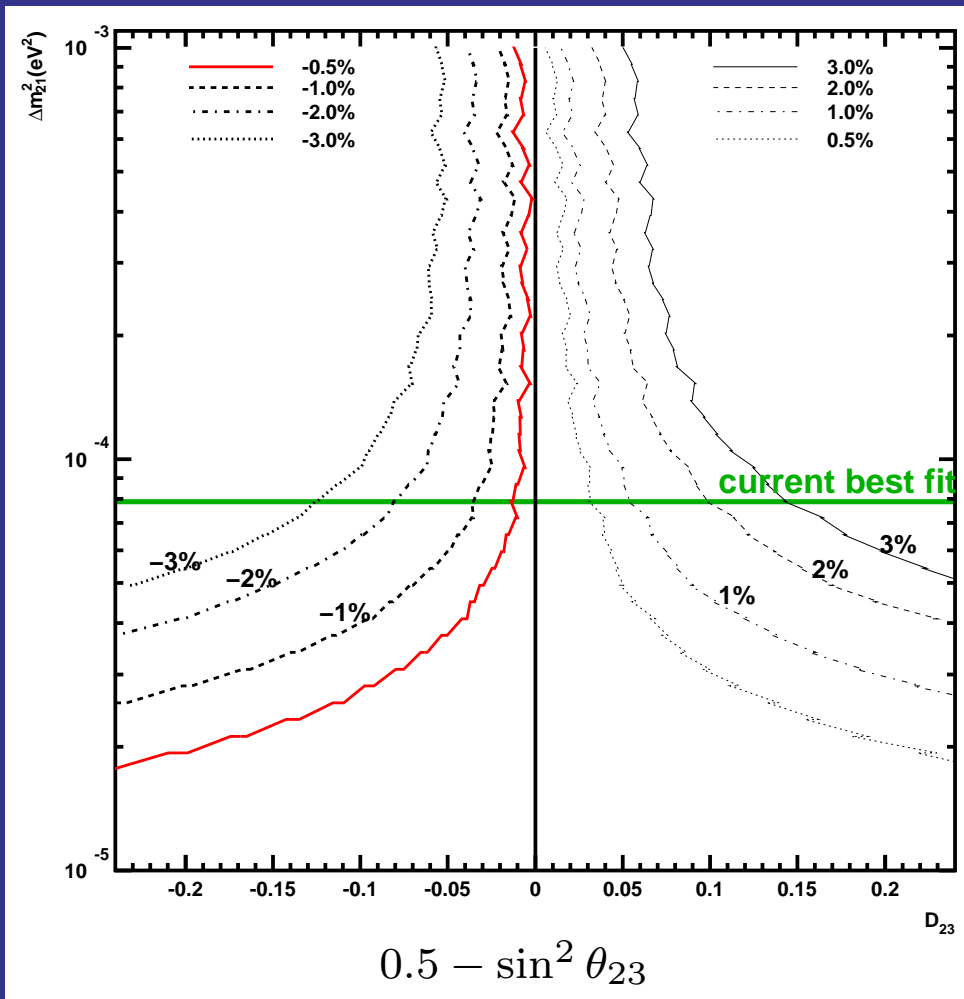
$$\frac{N_e}{N_e^0} - 1 \simeq (r s_{23}^2 - 1) P_{2\nu}(\Delta m_{31}^2, \theta_{13})$$



Bernabeu, Palomares-Ruiz, Petcov, Nucl. Phys. B669, 255 (2003), hep-ph/0305152

Δm_{21}^2 -effects

$$\frac{N_e}{N_e^0} - 1 \simeq (r c_{23}^2 - 1) P_{2\nu}(\Delta m_{21}^2, \theta_{12})$$



Peres, Smirnov, hep-ph/0309312

contours of $\frac{N_e}{N_e^0} - 1$

relevant for sub-GeV events

sensitivity to the octant of θ_{23}

Mega ton atmospheric neutrino experiments

projects for Mt water Cherenkov detectors
(SK: 22.5 kt)

UNO (US), Hyper-K (Japan), Frejus (Europe)

Mega ton atmospheric neutrino experiments

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multi-purpose experiments:

- far-detector for LBL experiments
- solar and atmospheric neutrinos
- supernova neutrinos
- proton decay
- . . .

The **HK** atmospheric neutrino experiment

assume 9 Mt yrs ATM data (100 × SK-I data)

	zenith angle	ν	$\bar{\nu}$
<i>e</i> -like sub-GeV	10 bins	239 000	58 000
<i>e</i> -like multi-GeV	10 bins	52 700	18 100
μ -like sub-GeV	10 bins	232 000	66 200
μ -like multi-GeV	10 bins	108 000	49 100
upward going μ	$10_{\text{thr}} + 5_{\text{st}}$	127 000	65 400

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WARNING:

- same systematics as SK-I

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WARNING:

- same systematics as SK-I
- same binning (zenith angle, energy) as SK-I

The ATM analysis

- **Full numerical three-flavour analysis**
 - both Δm_{31}^2 and Δm_{21}^2 taken into account
 - realistic treatment of earth matter effects

based on:

Gonzalez-Garcia, Maltoni, Pena-Garay, Valle, Phys. Rev. D **63** (2001) 033005

Gonzalez-Garcia, Maltoni, Eur. Phys. J. C **26** (2003) 417 [hep-ph/0202218]

Maltoni, TS, Tortola, Valle, Phys. Rev. D **67** (2003) 013011 [hep-ph/0207227]

Gonzalez-Garcia, Maltoni, Smirnov, Phys. Rev. D **70** (2004) 093005 [hep-ph/0408170]

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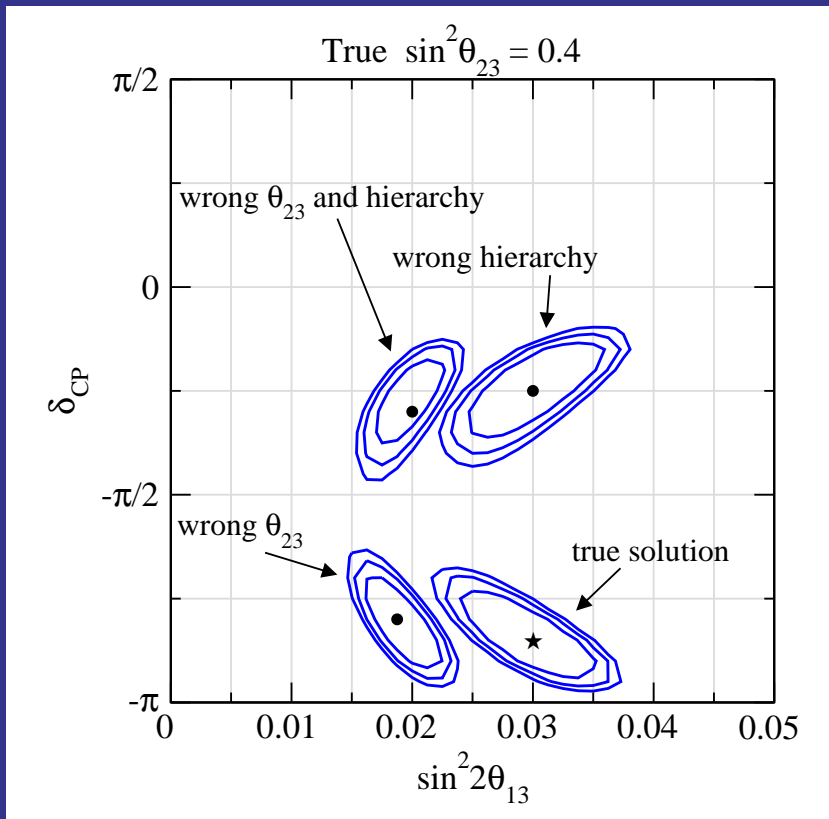
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Gonzalez-Garcia, Maltoni, Smirnov, Phys. Rev. D **70** (2004) 093005 [hep-ph/0408170]

- Combined with LBL data by using a generalized version of the GLoBES software

Resolving the degeneracies

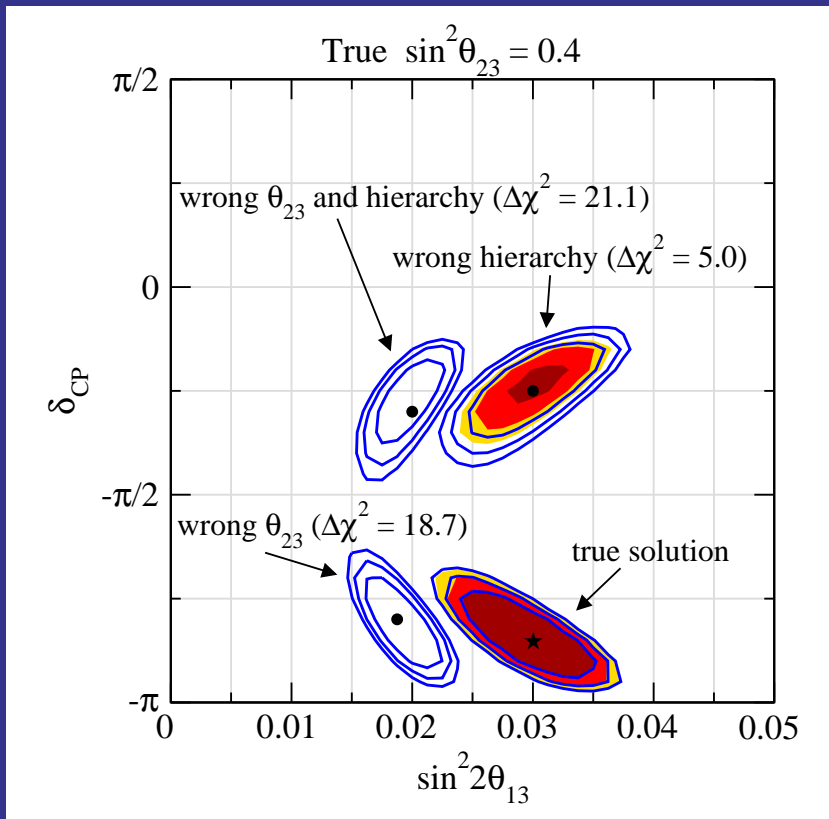
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True values:

$$\sin^2 2\theta_{13} = 0.03, \delta_{CP} = -0.85\pi, \Delta m_{31}^2 = 2.2 \times 10^{-3} \text{eV}^2$$

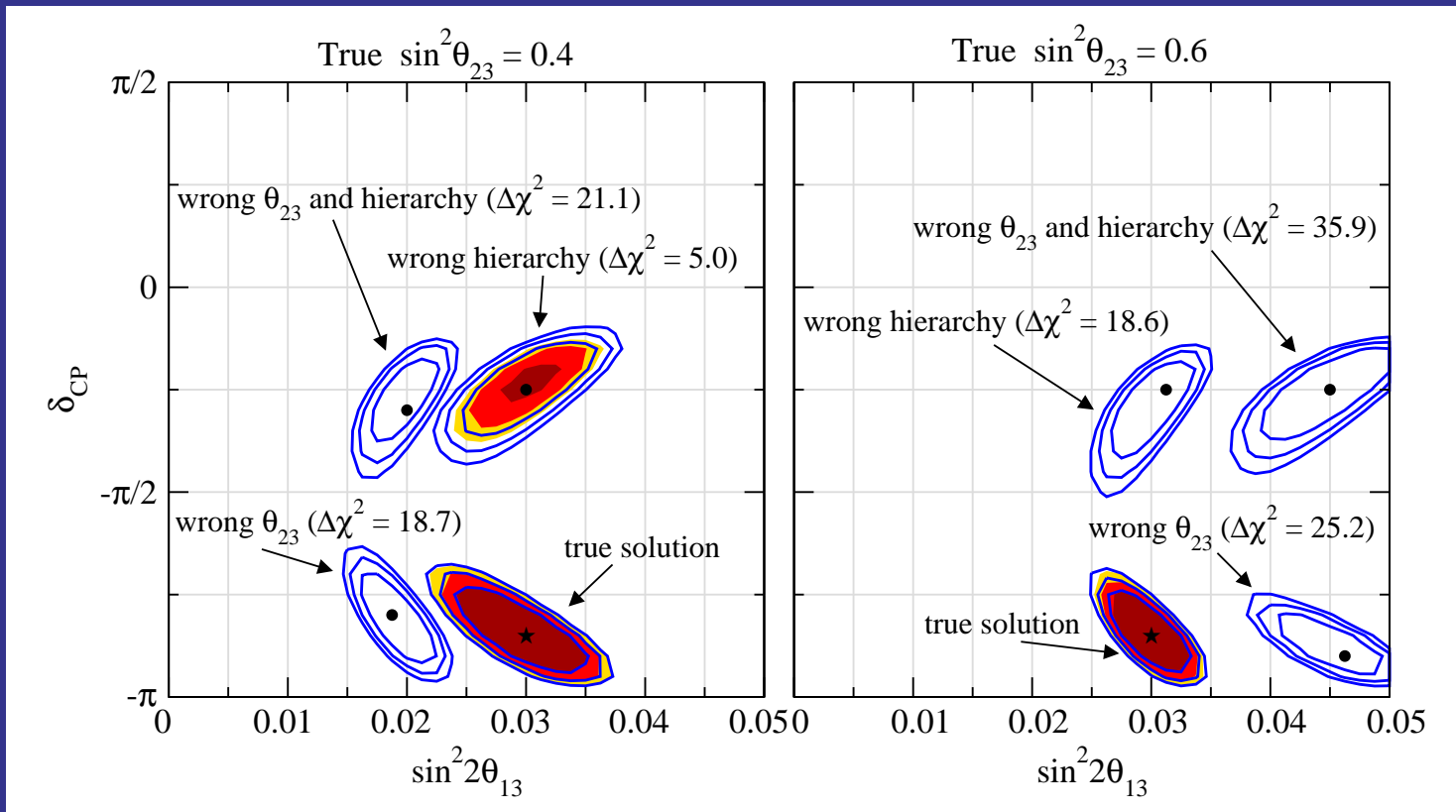
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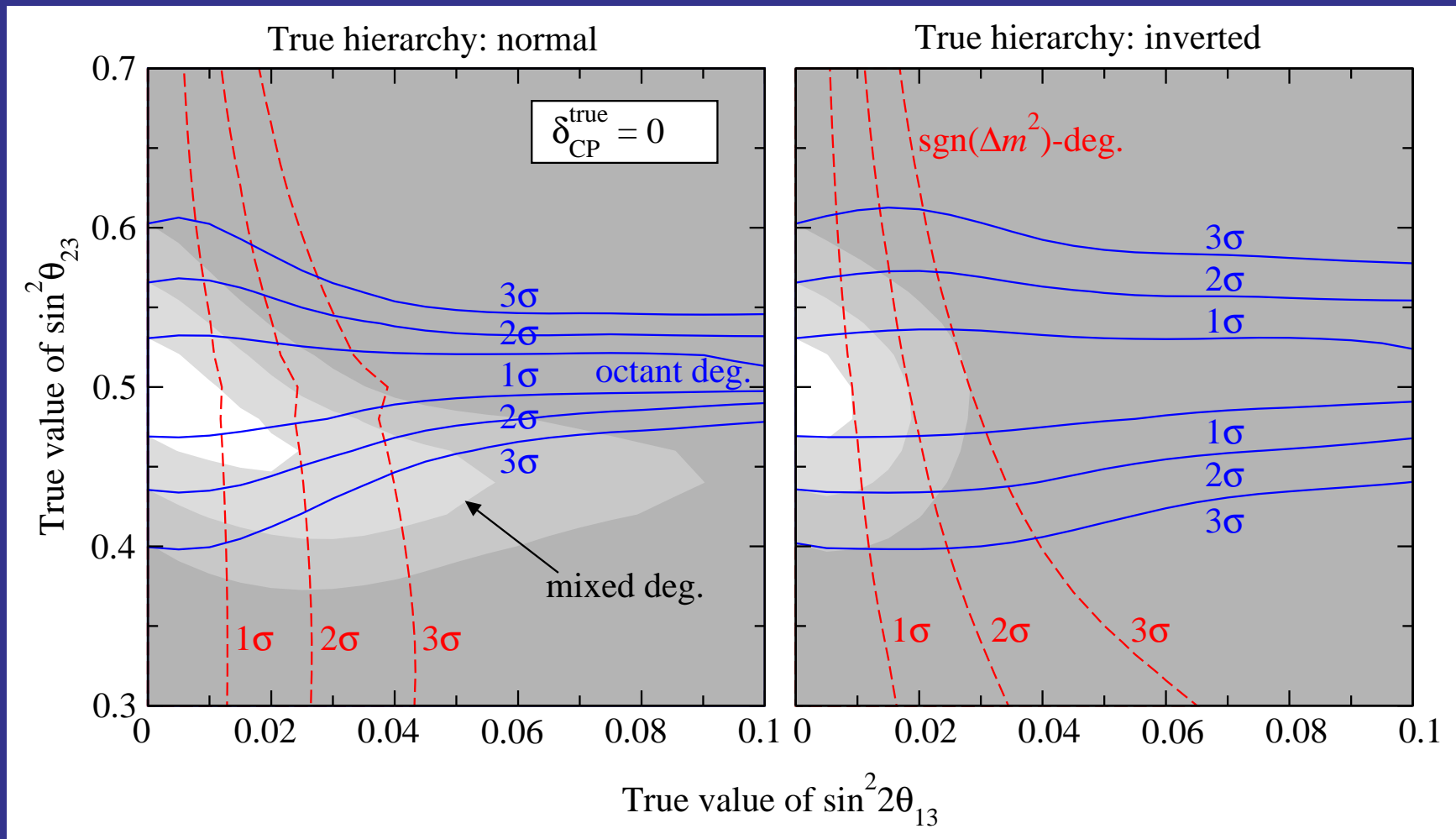
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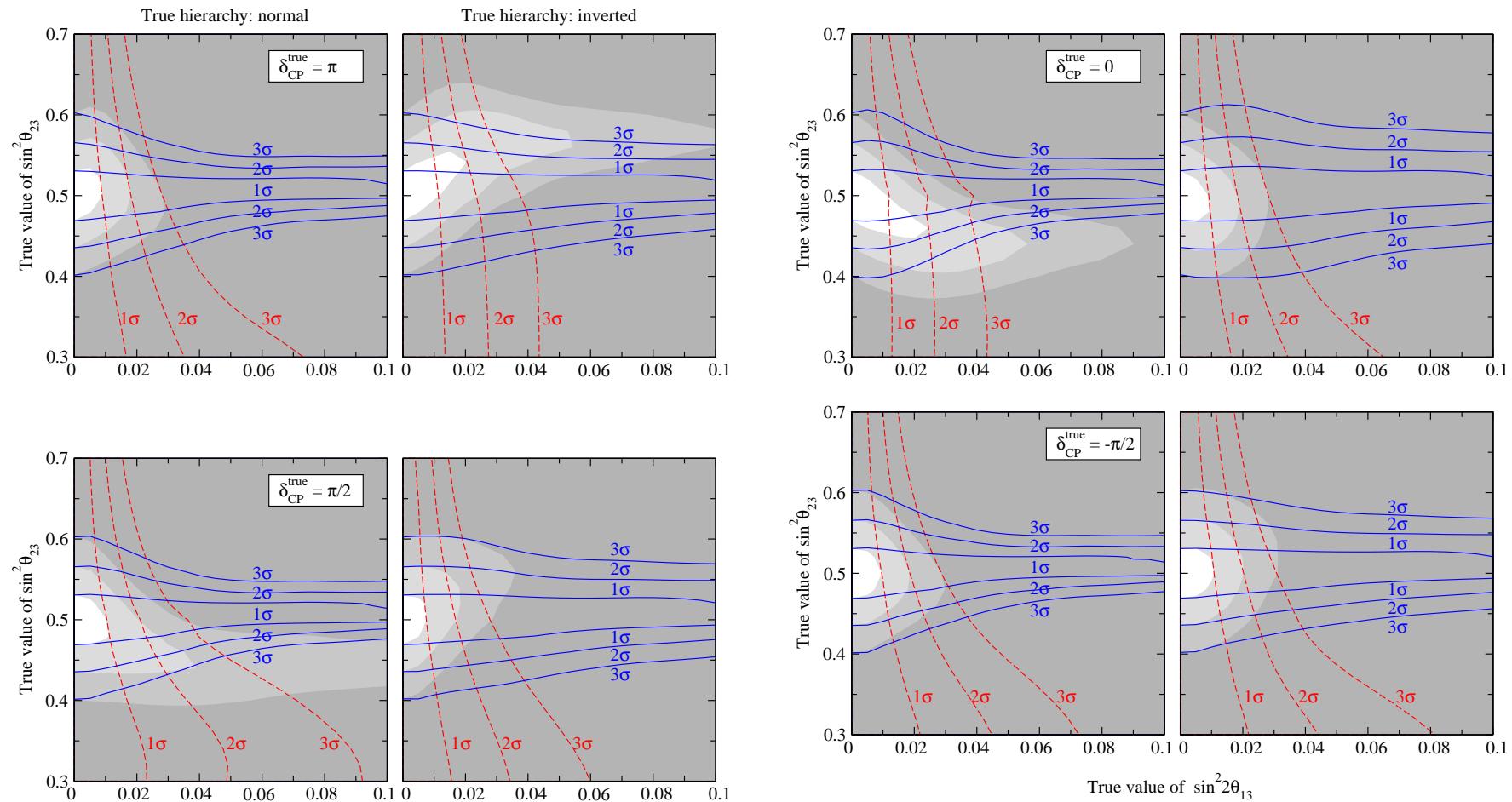
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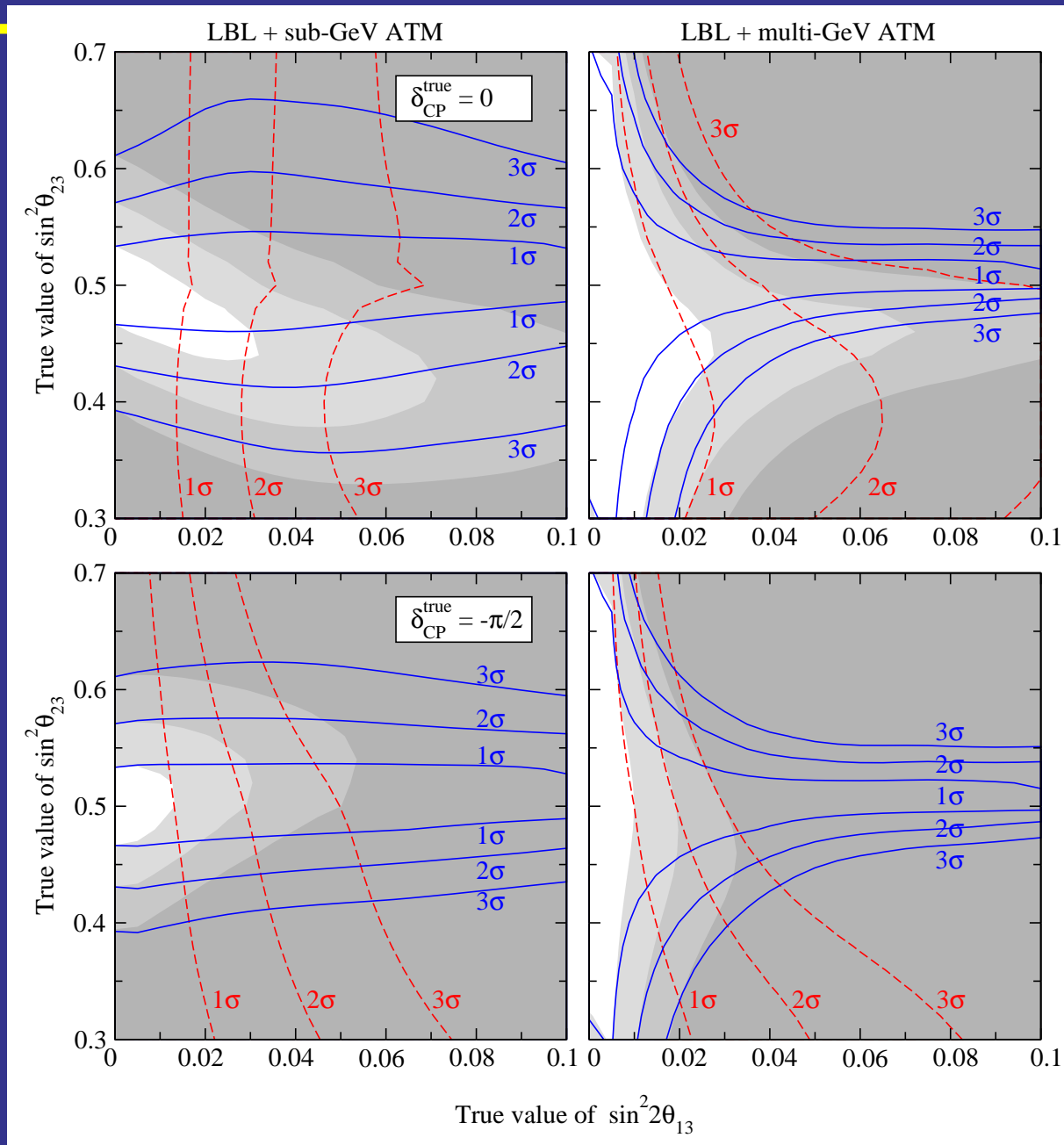
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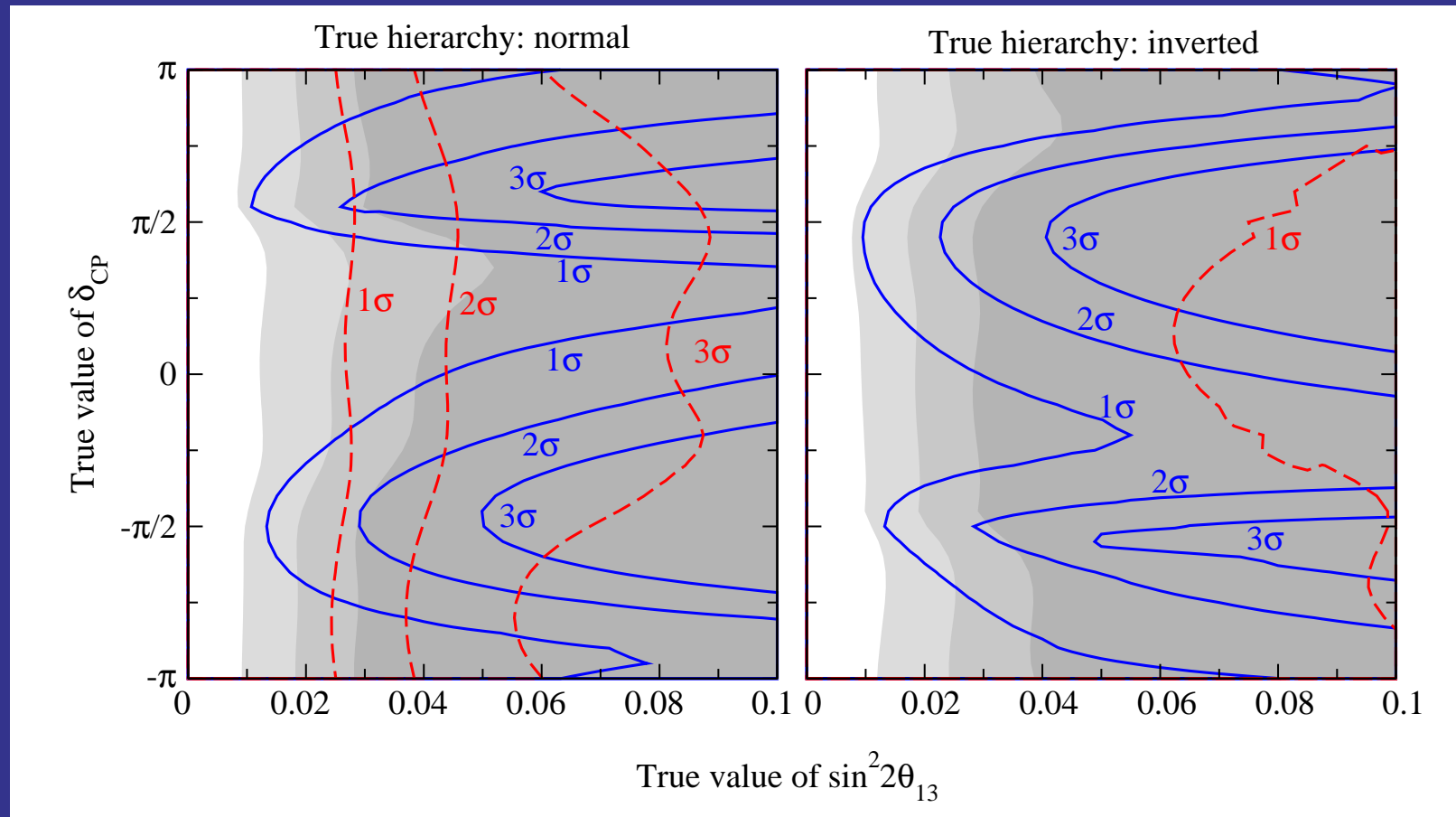


Which are the relevant ATM data samples?



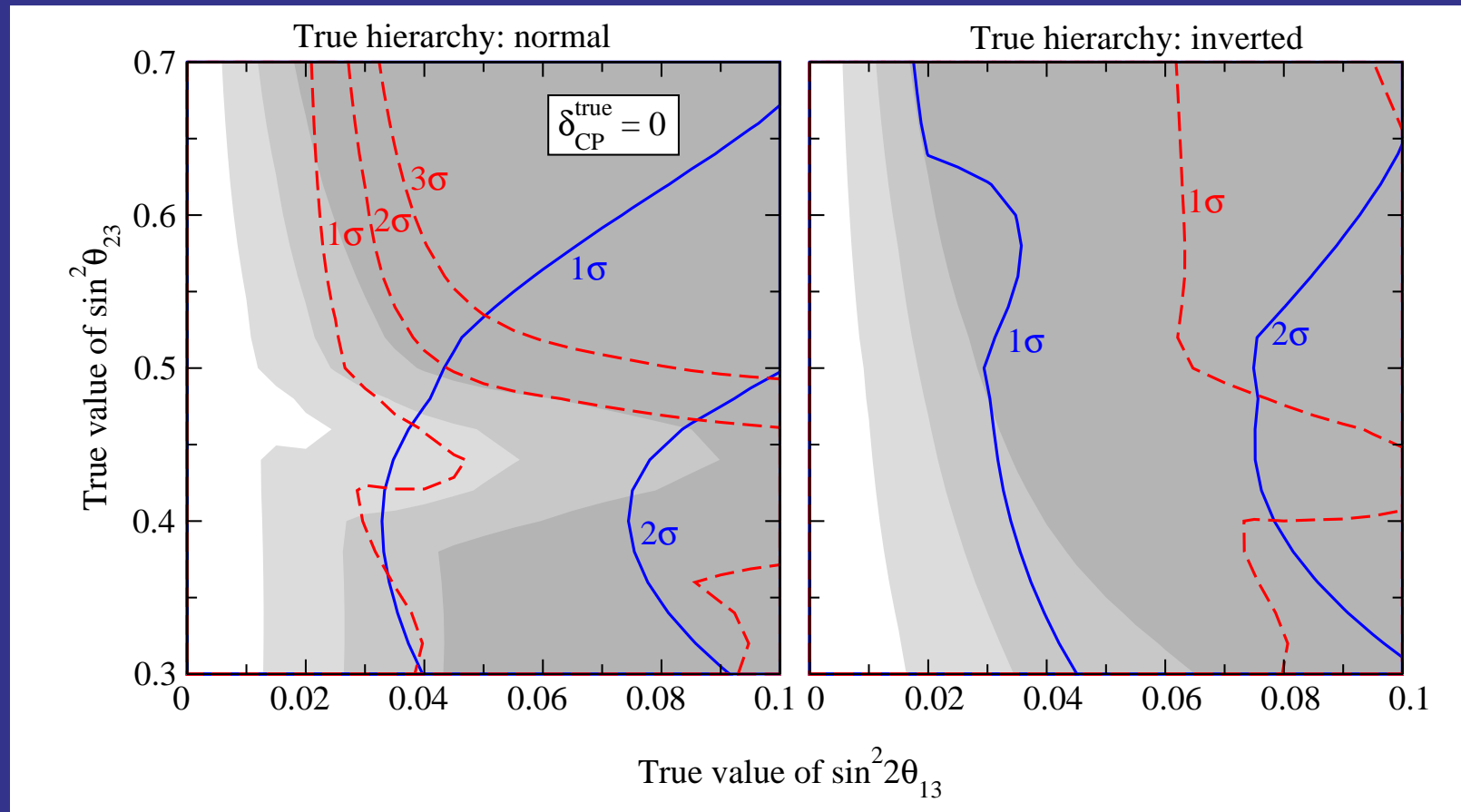
Identifying the mass hierarchy

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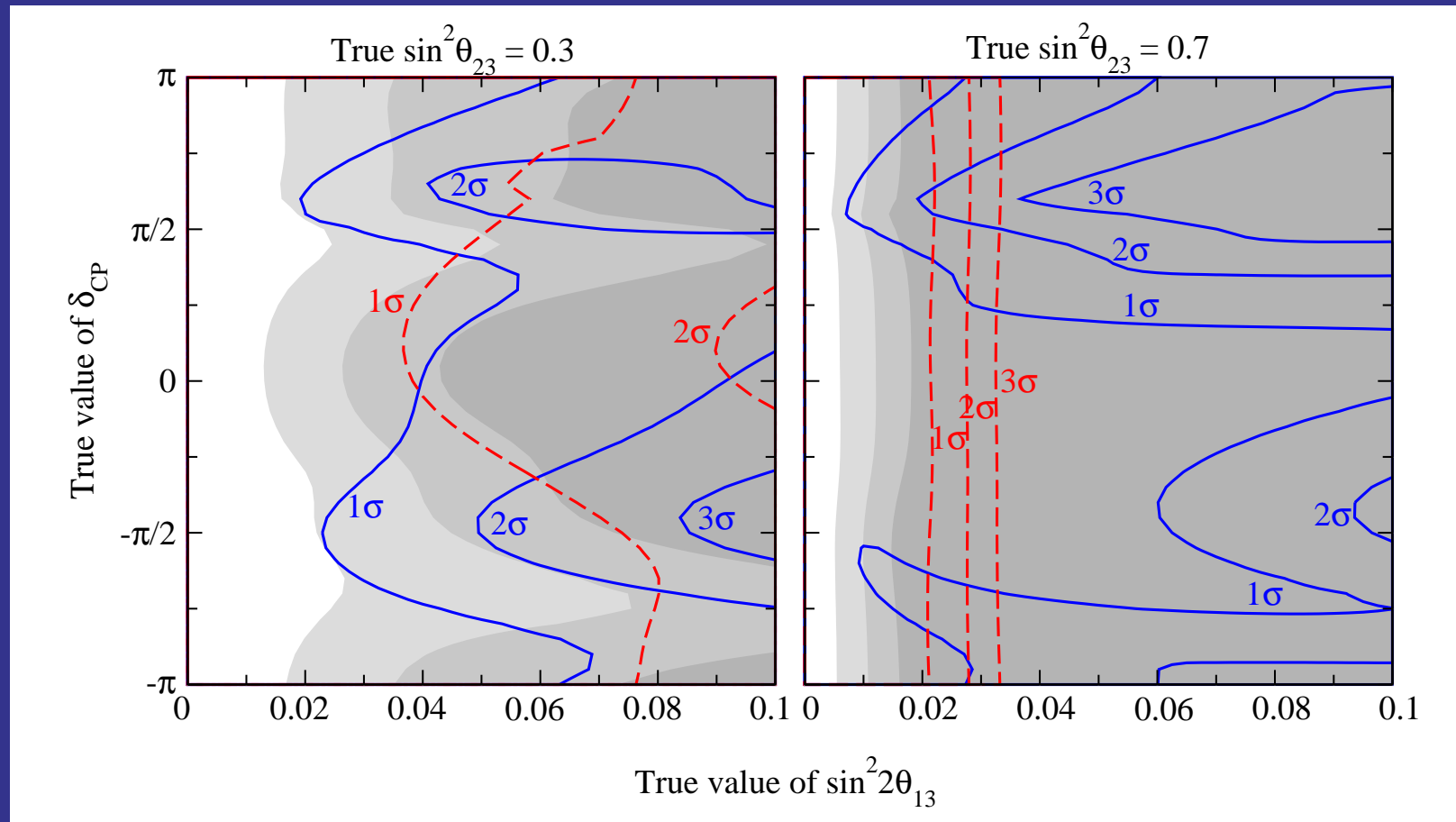
solid: LBL-only, dashed: ATM-only, shading: LBL+ATM

Identifying the mass hierarchy



solid: LBL-only, dashed: ATM-only, shading: LBL+ATM

Identifying the mass hierarchy

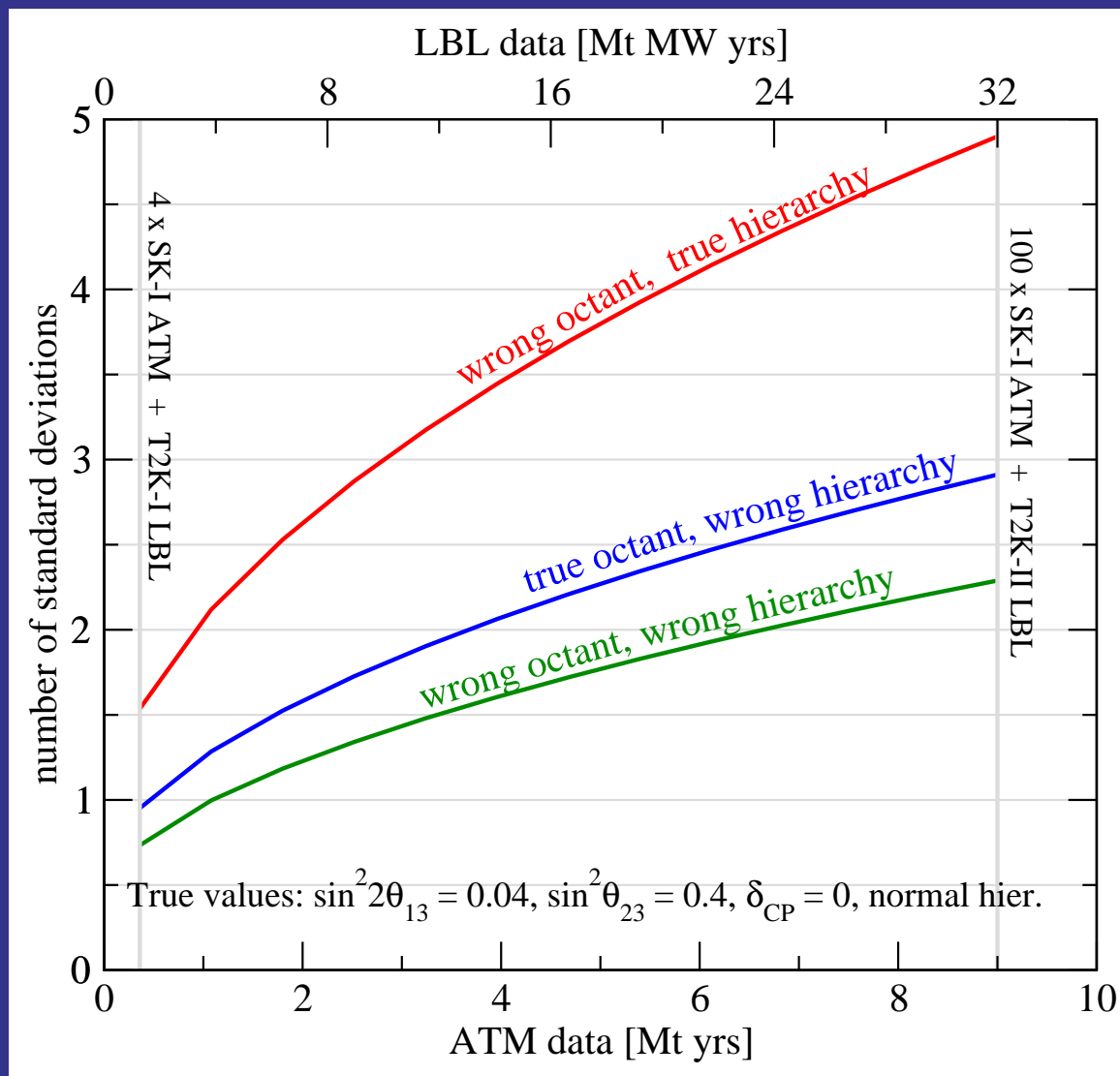


solid: LBL-only, dashed: ATM-only, shading: LBL+ATM

Luminosity scaling

Do we really need a Mt experiment?

Luminosity scaling



Preliminary BB/SPL analysis

CERN-Frejus LBL experiments (**PRELIMINARY**)

simulation from Huber, Lindner, Rolinec, Winter, work in progress

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similar to the setups from Bouchez, Lindros, Mezzetto, hep-ex/0310059;
Burguet-Castell et al., hep-ph/0312068; Donini et al., hep-ph/0406132

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- **450 kt water Cherenkov detector at Frejus**

Preliminary BB/SPL analysis

main difference to T2K:

- baseline: 130 km
- $E_\nu \simeq 0.2 - 0.3$ GeV
- no spectral information available

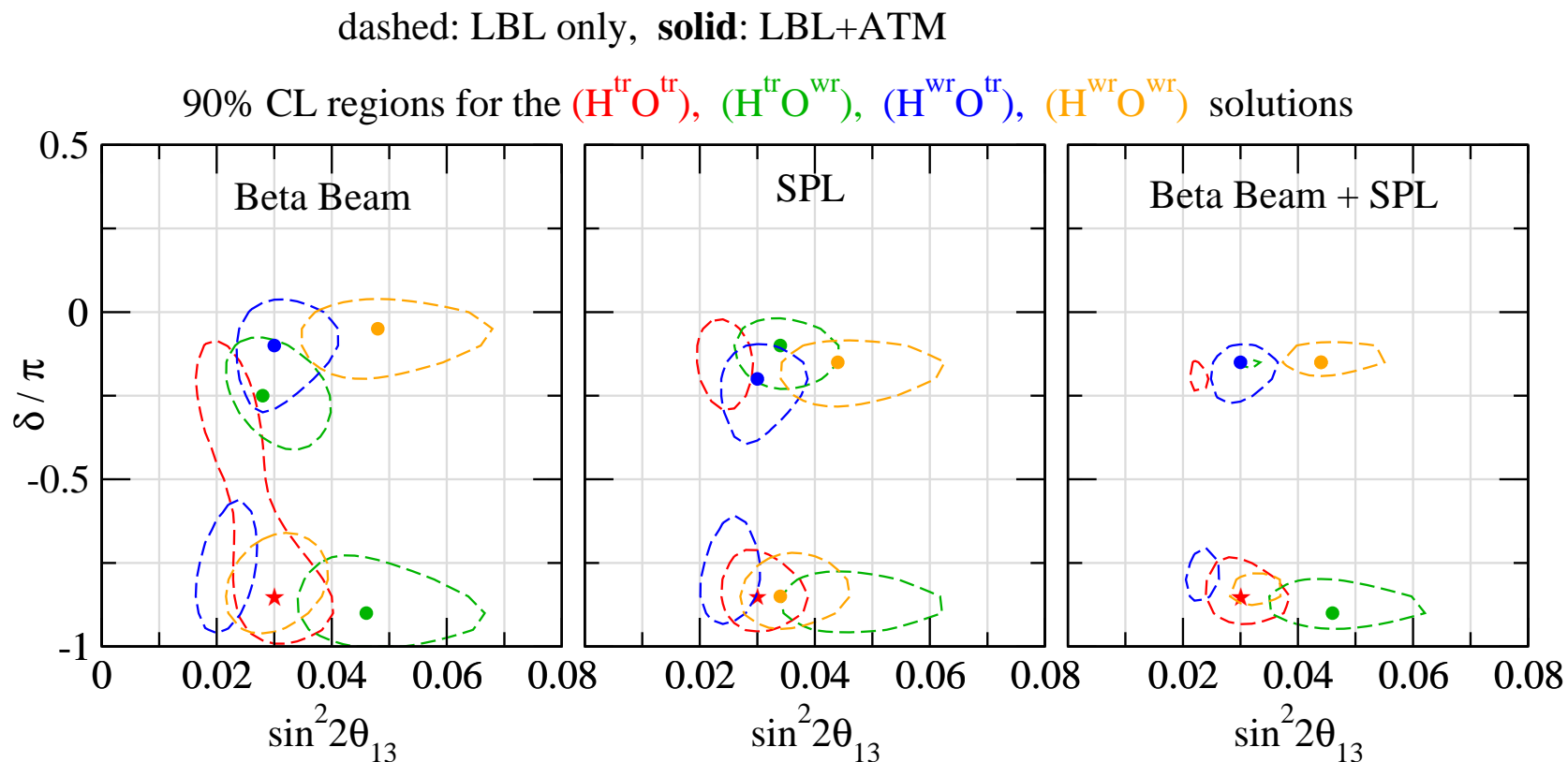
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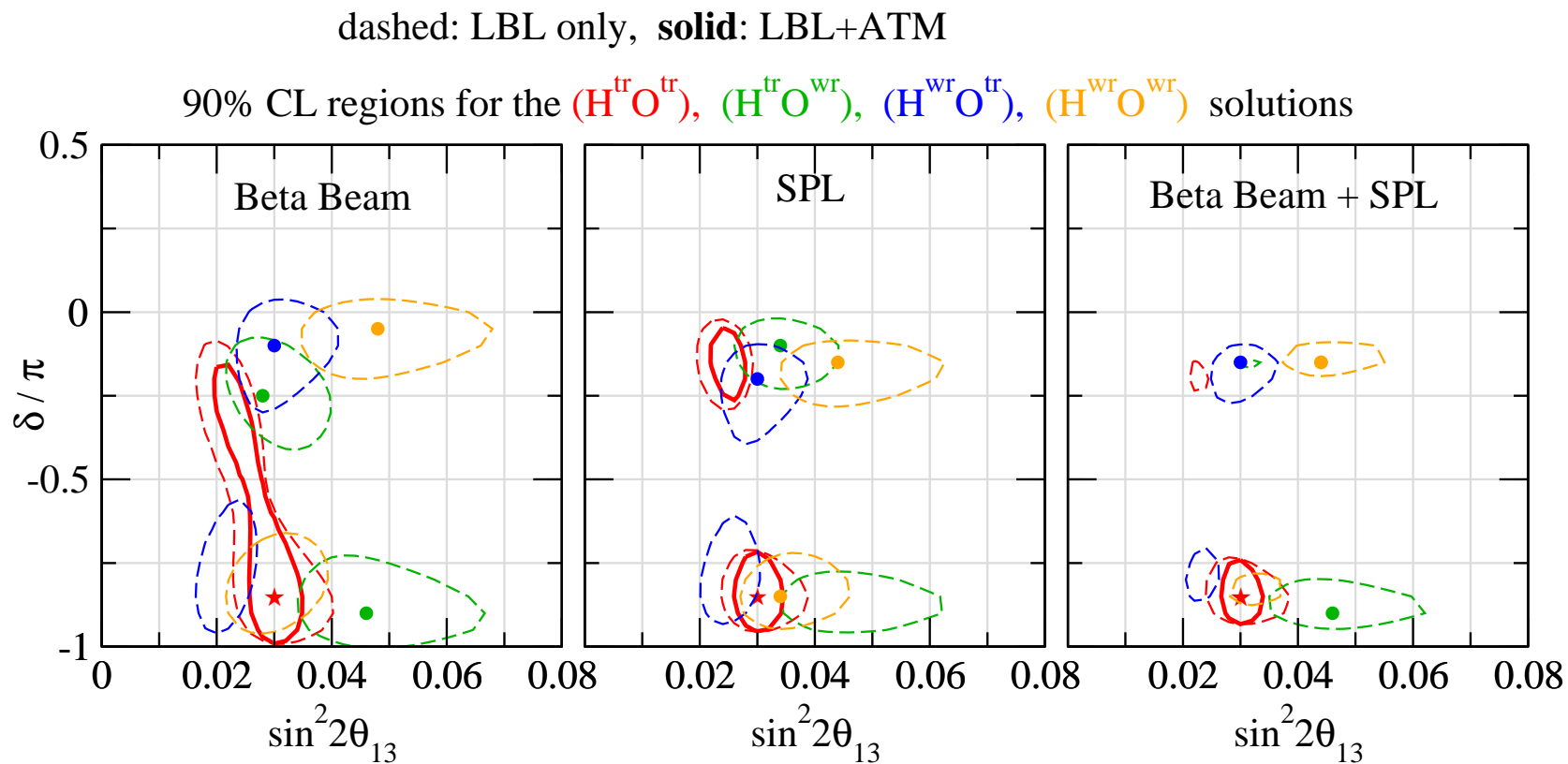
$(\theta_{13}, \delta_{CP})$ -degeneracy cannot be resolved

Preliminary BB/SPL analysis



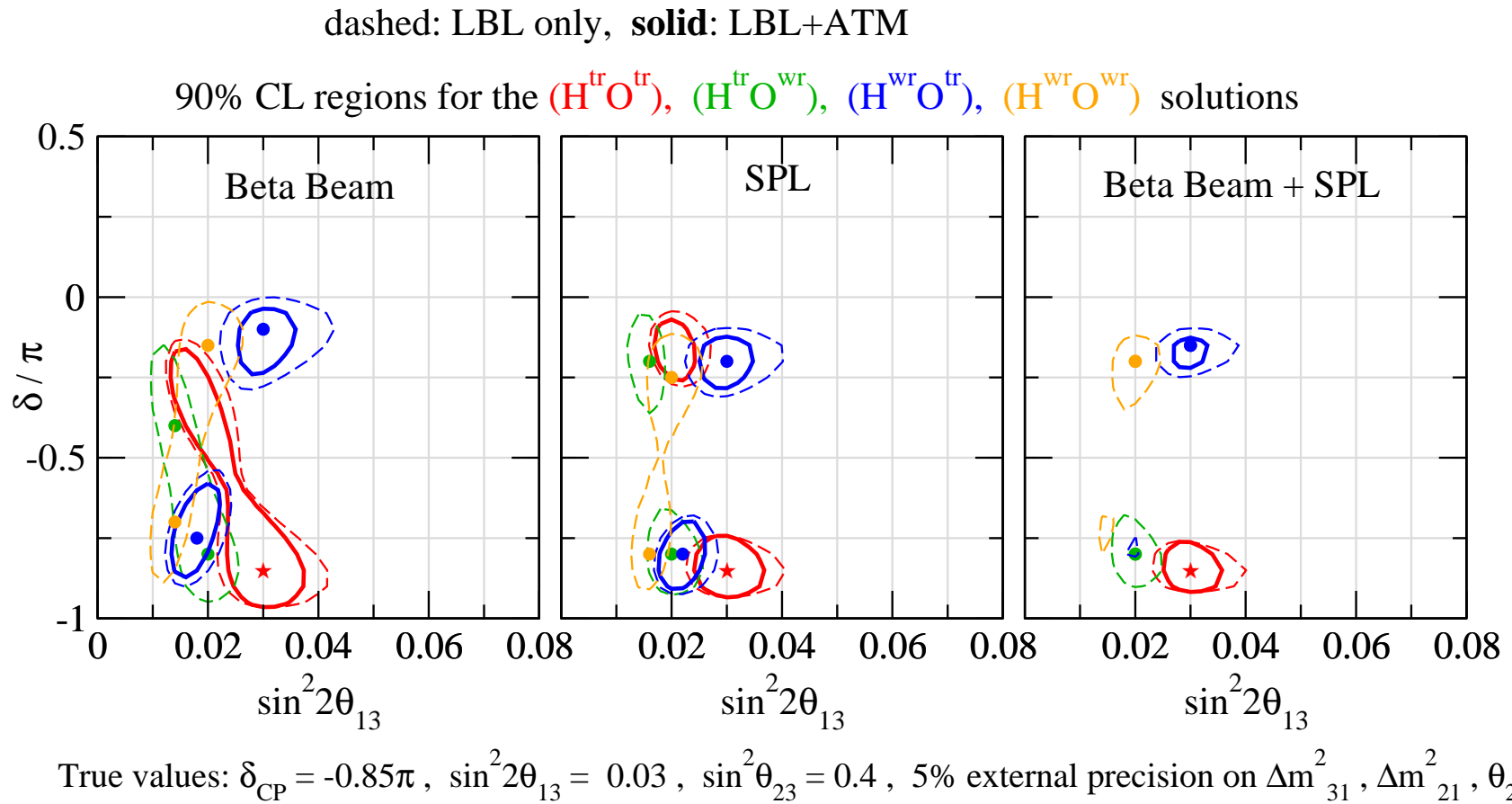
True values: $\delta_{CP} = -0.85\pi$, $\sin^2 2\theta_{13} = 0.03$, $\sin^2 \theta_{23} = 0.6$, 5% external precision on Δm_{31}^2 , Δm_{21}^2 , θ_{23}

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given the Mt detector for the LBL experiment,
ATM data come for free!

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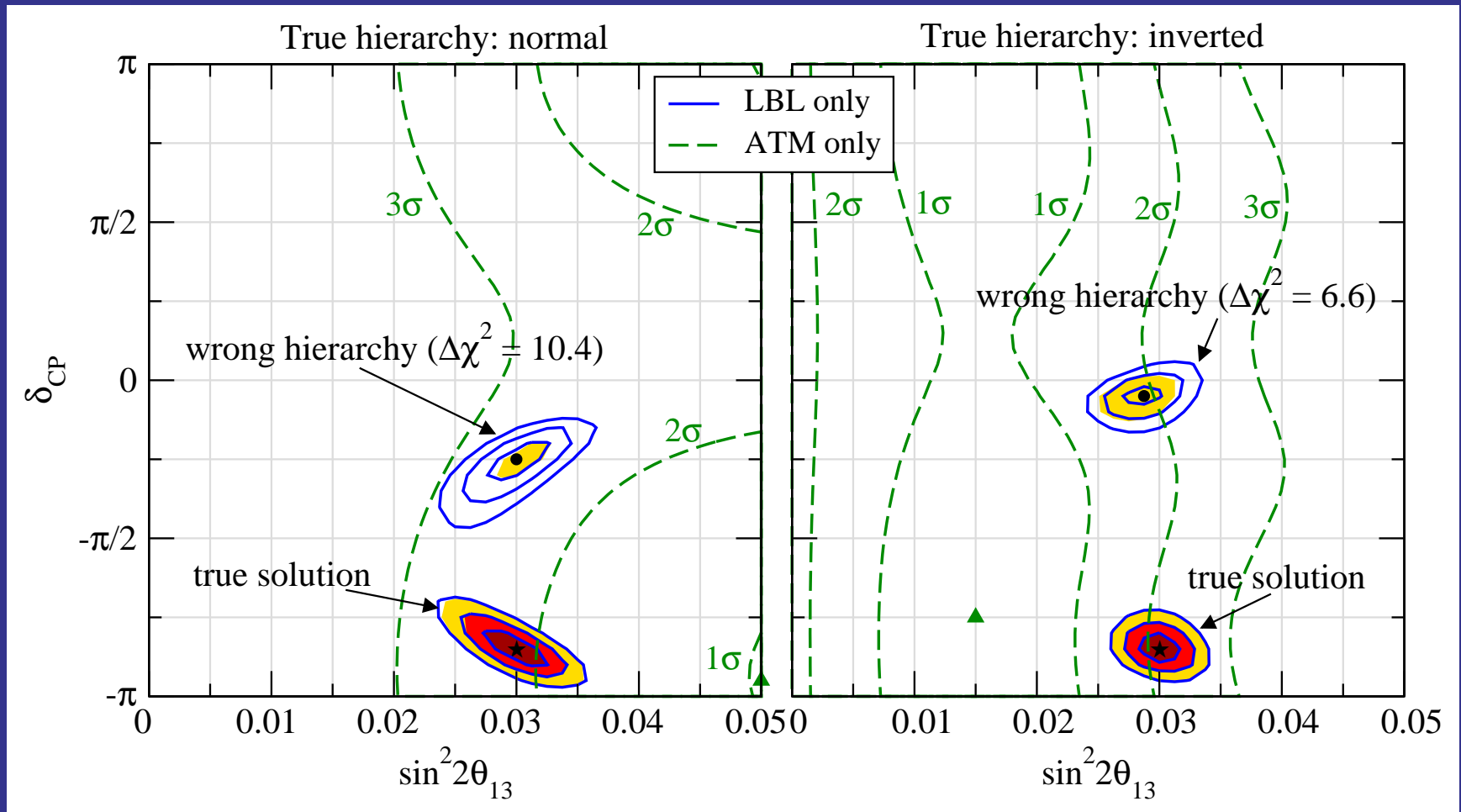
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Thank you for your attention!

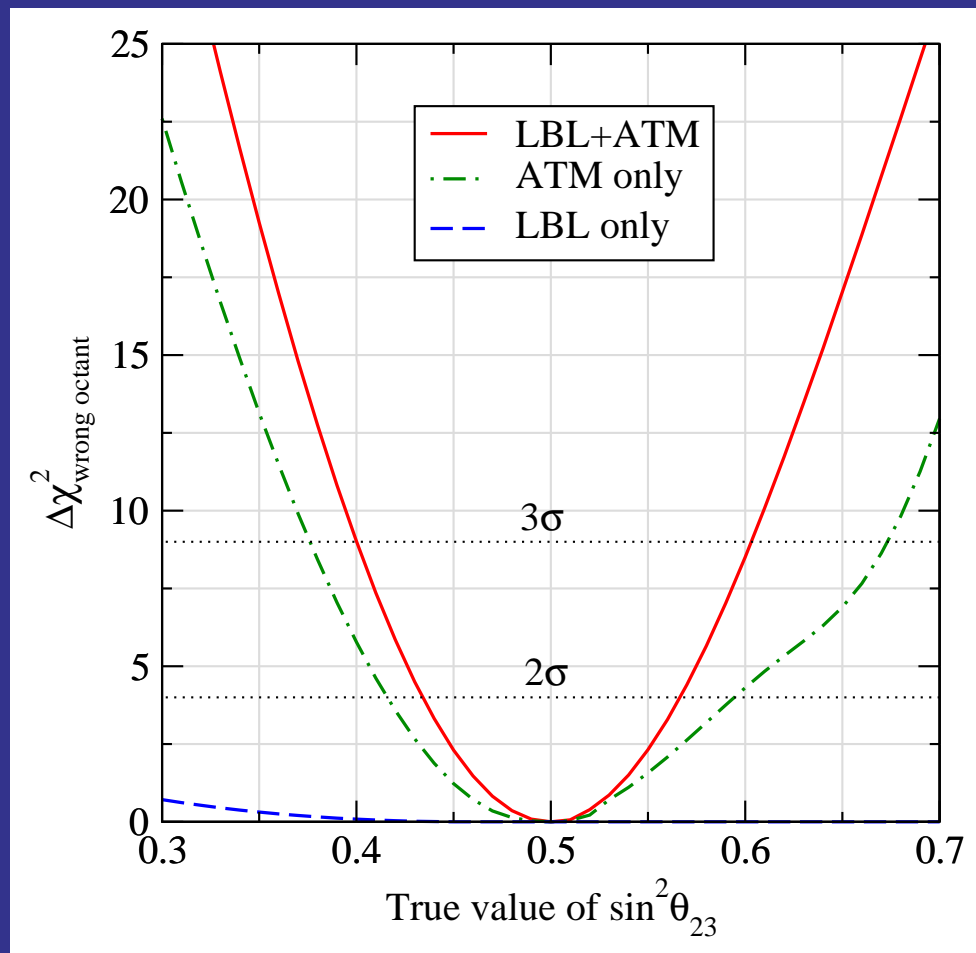
P.Huber, M.Maltoni, TS, hep-ph/0501037

Additional slides



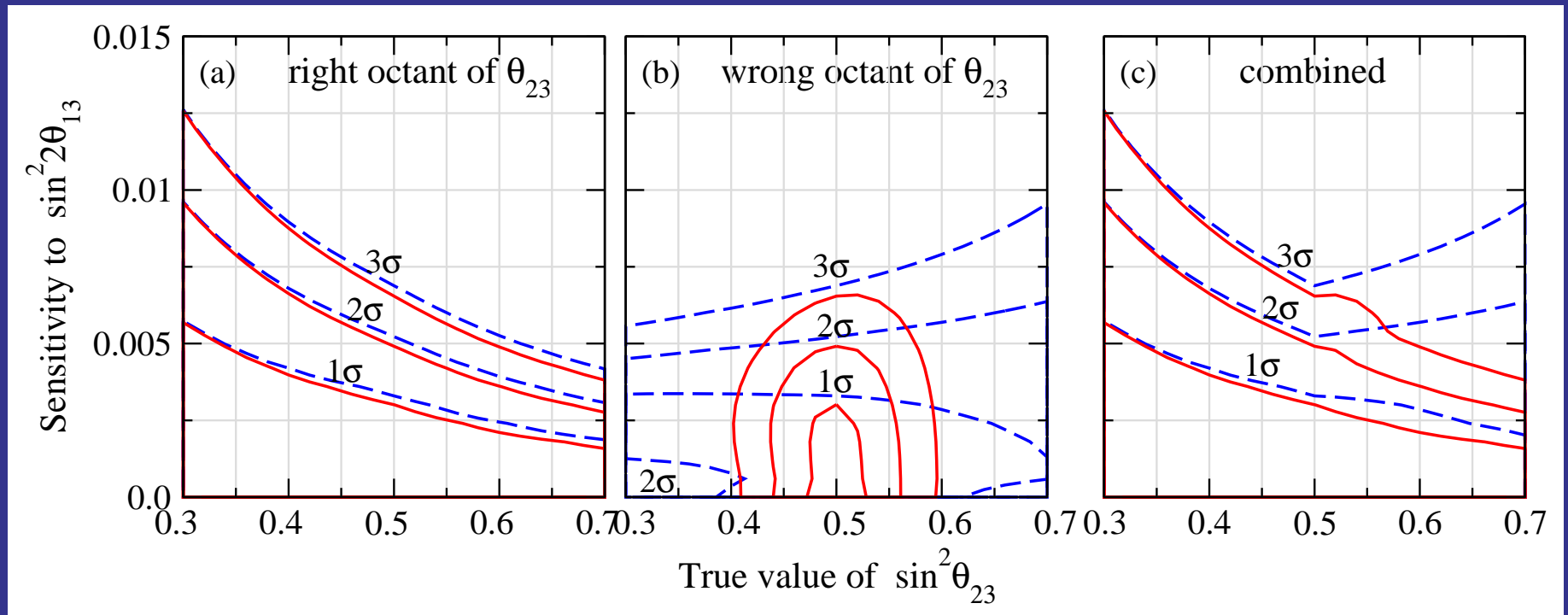
$$\text{True } \theta_{13} = 0$$

Resolving the octant-degeneracy:



True $\theta_{13} = 0$

The limit on $\sin^2 2\theta_{13}$:



dashed: LBL only, solid: LBL+ATM

$$P_{\mu e} \simeq \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \Delta_{31} + \dots$$